

From ABC to L : On singular moduli and Siegel zeros

Christian Táfula

Département de mathématiques
et de statistique (DMS),
Université de Montréal (UdeM)

IUT Summit 2021

- 1 Introduction
- 2 Lower bounds for $h(D)$
- 3 The Siegel zero connection
- 4 Upper bounds for $h(D)$

- 1 Introduction
- 2 Lower bounds for $h(D)$
- 3 The Siegel zero connection
- 4 Upper bounds for $h(D)$

“No Siegel zeros” for $D < 0$



Lower bounds for “class number \times ~~regulator~~” of $\mathbb{Q}(\sqrt{D})$

Notation

- $D < 0$ fundamental discriminant (so $\sqrt{D} \notin \mathbb{R}$, $D = \text{disc } \mathbb{Q}(\sqrt{D})$);
- $Cl(D) = \text{class group of } \mathbb{Q}(\sqrt{D})$;
- $h(D) = |Cl(D)|$ class number of $\mathbb{Q}(\sqrt{D})$;
- $j = j$ -invariant function:

$$j(\tau) := \frac{\left(1 + 240 \sum_{n \geq 1} \left(\sum_{d|n} d^3\right) q^n\right)^3}{q \prod_{n \geq 1} (1 - q^n)^{24}} \quad \left(\Im(\tau) > 0, q = e^{2\pi i \tau}\right)$$

$$\begin{aligned} j(\tau + 1) &= j(\tau), \\ j(-1/\tau) &= j(\tau); \end{aligned}$$

$$\begin{aligned} j(i) &= 1728, \\ j(e^{2\pi i/3}) &= 0, \\ "j(i\infty) &= 1\infty"; \end{aligned}$$

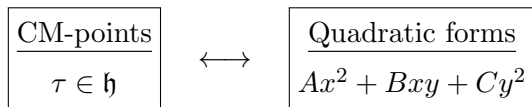
q -expansion of j :

$$j(\tau) = \frac{1}{q} + 744 + 196884q + \dots$$

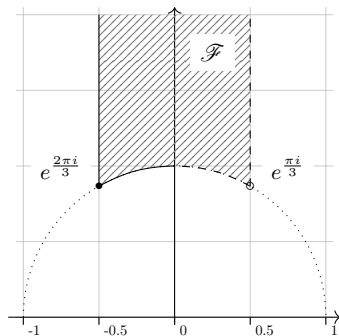
Singular moduli (1/2)

Let $\tau \in \mathfrak{h}$ ($\Im(\tau) > 0$)

- CM-point: $\tau \mid A\tau^2 + B\tau + C = 0$ ($A, B, C \in \mathbb{Z}$, $A > 0$, $\gcd(A, B, C) = 1$, unique)
- Singular modulus: $j(\tau)$ ($j = j$ -invariant, τ a CM-point)



- $\text{disc}(\tau) := B^2 - 4AC$
- $\tau \sim \tau' \iff (A, B, C) \sim (A', B', C')$
- $\tau \in \mathcal{F} \iff (A, B, C)$ *reduced*



Singular moduli (2/2)

Heegner points Λ_D

Reduced prim. quad. forms of disc. D

$$\left\{ \begin{array}{l} \text{CM-points } \tau \in \mathcal{F}, \\ \text{disc}(\tau) = D \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{l} (a, b, c) := ax^2 + bxy + cy^2 \\ \text{s.t. } b^2 - 4ac = D, \text{ and} \\ -a < b \leq a < c \text{ or } 0 \leq b \leq a = c \end{array} \right\}$$

Ψ

Ψ

$$\tau_D := \underbrace{\frac{\sqrt{D}}{2}}_{D \equiv 0(4)} \text{ or } \underbrace{\frac{-1 + \sqrt{D}}{2}}_{D \equiv 1(4)}$$

$$\leftrightarrow \underbrace{\text{Principal form}}_{(1,0,-\frac{D}{4}) \text{ or } (1,1,\frac{1-D}{4})}$$

$$\mathbb{Z}[\tau_D] = \mathcal{O}_{\mathbb{Q}(\sqrt{D})}$$

Write $H_D :=$ Hilbert class field of $\mathbb{Q}(\sqrt{D})$.

- $H_D = \mathbb{Q}(\sqrt{D}, j(\tau_D))$ $\left([H_D : \mathbb{Q}(\sqrt{D})] = [\mathbb{Q}(j(\tau_D)) : \mathbb{Q}] = h(D) \right)$
- $\{j(\tau) \mid \tau \in \Lambda_D\} = \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}(\sqrt{D}))$ -conjugates of $j(\tau_D)$
- $j(\tau_D)$ is an algebraic **integer!**

The equation $x^3 - Dy^2 = 1728$

Consider the Diophantine equation $x^3 - Dy^2 = 1728$ ($= j(i)$).

- Factorization of differences of singular moduli
- Solutions of the type $(j(\tau_D), j(\tau_D) - 1728) = (x^3, Dy^2)$
- Idea: $ABC \implies$ few such solns \implies **lower bounds for $h(D)$**

ABC :

$$(a + b = c)$$

$$a, b, c \in \mathbb{Z} \text{ coprime. } \forall \varepsilon > 0, \exists C_\varepsilon > 0 \text{ s.t.} \\ \max\{|a|, |b|, |c|\} < C_\varepsilon \left(\prod_{p|abc} p \right)^{1+\varepsilon}$$

Example (Class number 1 problem)

Gross-Zagier: $h(D) = 1 \implies x, y\sqrt{|D|} \in \mathbb{Z}$. However, in this case,

$$|j(\tau_D)| \leq \max\{|x|^3, |y\sqrt{D}|^2, 1728\} \leq C_\varepsilon \cdot |x \cdot y\sqrt{D}|^{1+\varepsilon} \\ \leq C_\varepsilon \cdot |j(\tau_D)|^{\frac{5}{6}(1+\varepsilon)},$$

so there can only be finitely many $D < 0$ with $h(D) = 1$. △

How does $h(D)$ grow?

What do we know?

- $h(D) \rightarrow \infty$ as $D \rightarrow -\infty$ (Heilbronn, 1934)
 - List $h(D)$ (small values of $|D|$)
 - Estimate $h(D)$ (large values of $|D|$)
- $-D \in \{3, 4, 7, 8, 11, 19, 43, 67, 163\}$ are the *only* D s.t. $h(D) = 1$
 - Solved by Heegner (~ 1952) [based on Weber's work]
 - Reworked and clarified by Stark, Birch (1967 \sim 9)
 - Baker's solution (~ 1970) [based on Baker's theorem]
- $h(D)$ grows *roughly* like $|D|^{1/2}$ (GRH \implies err $\approx (\log \log |D|)^{\pm 1}$)
 - Hecke (1917): If $L(s, \chi_D) \neq 0$ **near** 1, then $\frac{h(D)}{|D|^{1/2}} \gg (\log |D|)^{-1}$
 - Landau (1918): $\max \left\{ \frac{h(D_1)}{|D_1|^{1/2}}, \frac{h(D_2)}{|D_2|^{1/2}} \right\} \gg (\log |D_1 D_2|)^{-1}$
 - Siegel (1935): $\frac{h(D)}{|D|^{1/2}} \gg_\varepsilon |D|^{-\varepsilon}$ (unconditional but ineffective)

- 1 Introduction
- 2 Lower bounds for $h(D)$
- 3 The Siegel zero connection
- 4 Upper bounds for $h(D)$

The Granville–Stark Theorem

Theorem (Granville–Stark, 2000)

Uniform abc-conj. \implies “No Siegel zeros” for
 $L(s, \chi_D)$, $D < 0$

- Study $x^3 - y^2 = 1728$
- Uniform *ABC* for number fields (*U-abc*)
- *U-abc* \implies lower bounds for $h(D)$
- Lower bounds $h(D) \iff$ “no Siegel zeros”



A. Granville



H. Stark

The equation $x^3 - y^2 = 1728$

- Solutions of the type $(j(\tau_D), j(\tau_D) - 1728) = (x^3, y^2)$

ABC (for \mathbb{Q}): $\log \max\{|a|, |b|, |c|\} < (1 + \varepsilon) \underbrace{\sum_{p|abc} \log p}_{\text{log-conductor}} + O_\varepsilon(1)$

- Applying the same logic for $x^3 - y^2 - 1728 = 0$, we would get:

$$\begin{aligned} \log \max\{|x|^3, |y|^2\} &\leq \log \max\{|x|^3, |y|^2, 1728\} \\ &\stackrel{\text{abc}}{\leq} (1 + \varepsilon) \log\text{-cond}(12|xy|) + \mathbf{error} \\ &\leq \frac{5}{6} (1 + \varepsilon) \log \max\{|x|^3, |y|^2\} + \mathbf{error} \end{aligned}$$

How to make
this precise? \longrightarrow

$$\log \max\{|x|^3, |y|^2\} < (6 + \varepsilon') \cdot \mathbf{error}$$

ABC for number fields (1/2)

Let K/\mathbb{Q} be a NF, $\mathbb{V}(K)$ its places, $\mathbb{V}(K)^{\text{non}} \subseteq \mathbb{V}(K)$ non-arch. places.

For a point $P = [x_0 : \cdots : x_n] \in \mathbb{P}_K^n$, define:

- (naïve, abs, log) height $\text{ht}(P)$

$$\frac{1}{[K : \mathbb{Q}]} \sum_{v \in \mathbb{V}(K)} \log \left(\max_i \|x_i\|_v \right)$$

- (log) conductor $\mathcal{N}_K(P)$

$$\frac{1}{[K : \mathbb{Q}]} \sum_{\substack{v \in \mathbb{V}(K)^{\text{non}} \\ \exists i, j \leq n \text{ s.t.} \\ v(x_i) \neq v(x_j)}} f_v \log(p_v)$$

For $a, b, c \in \mathbb{Z}$ coprime,

- $\text{ht}([a : b : c]) = \log \max\{|a|, |b|, |c|\}$
- $\mathcal{N}_{\mathbb{Q}}([a : b : c]) = \log \left(\prod_{p|abc} p \right)$

$$\begin{aligned} & \updownarrow \\ & \left\{ \begin{array}{l} v \sim \mathfrak{p} = \mathfrak{p}_v \\ p_v \sim \mathfrak{p}_v \cap \mathbb{Q} \\ f_v := [K_v : \mathbb{Q}_{p_v}] \end{array} \right. \end{aligned}$$

For $\alpha \in \overline{\mathbb{Q}}$, $\text{ht}(\alpha) := \text{ht}([\alpha : 1])$.

$$\alpha \text{ integral} \Rightarrow \text{ht}(\alpha) = \frac{1}{|\mathcal{A}|} \sum_{\alpha^* \in \mathcal{A}} \log^+ |\alpha^*|$$

$\mathcal{A} = \{\text{conjugates of } \alpha\}$

ABC for number fields (2/2)

abc for number fields

Fix K/\mathbb{Q} a number field. Then, for every $\varepsilon > 0$, there is $\mathcal{C}(K, \varepsilon) \in \mathbb{R}_+$ such that, $\forall a, b, c \in K \mid a + b + c = 0$, we have

$$\text{ht}([a : b : c]) < (1 + \varepsilon) \left(\mathcal{N}_K([a : b : c]) + \log(\text{rd}_K) \right) + \mathcal{C}(K, \varepsilon),$$

where $\text{rd}_K := |\Delta_K|^{1/[K:\mathbb{Q}]}$ is the root-discriminant of K .

- Granville–Stark’s idea: Solve $x^3 - y^2 = j(i)$ in diff.s of singular moduli:

$$(j(\tau_D), j(\tau_D) - 1728) = (x^3, y^2) \quad \boxed{x^3 - y^2 = 1728}$$

$$j(\tau_D) \in \mathbb{H}_D \quad \tilde{\mathbb{H}}_D := \mathbb{H}_D(x, y) \quad ([\tilde{\mathbb{H}}_D : \mathbb{H}_D] \leq 6)$$

Lemma

$$\text{rd}_{\tilde{\mathbb{H}}_D} \leq 6\sqrt{|D|}$$

Proposition (for $0 < \varepsilon < \frac{1}{5.01}$)

$$\text{ht}(j(\tau_D)) < \frac{3}{1 - 5\varepsilon} \left((1 + \varepsilon) \log |D| + 2\mathcal{C}(\tilde{\mathbb{H}}_D, \varepsilon) \right) + O(1)$$

What do we get?

Thus, for every small $\varepsilon > 0$, we have:

$$\limsup_{D \rightarrow -\infty} \frac{\text{ht}(j(\tau_D))}{3 \log |D|} \leq \frac{1 + \varepsilon}{1 - 5\varepsilon} + \limsup_{D \rightarrow -\infty} \frac{2\mathcal{C}(\tilde{H}_D, \varepsilon)}{(1 - 5\varepsilon) \log |D|}.$$

How to make progress? $\left(\text{Keep in mind: } \begin{array}{l} [\tilde{H}_D : \mathbb{Q}] \asymp h(D), \\ \log(\text{rd}_{\tilde{H}_D}) = \log |D| + O(1) \end{array} \right)$

- Uniformity: $\mathcal{C}(K, \varepsilon) = \mathcal{C}(\varepsilon)$ ($\implies \limsup \leq 1$)
- Weak uniformity: $\mathcal{C}(K, \varepsilon) = o_\varepsilon(\log \text{rd}_K)$ ($\implies \limsup \leq 1$)
- O-weak uniformity: $\mathcal{C}(K, \varepsilon) = O_\varepsilon(\log \text{rd}_K)$ ($\implies \limsup < +\infty$)
- [IUTchIV] ABC:[†] $\mathcal{C}(K, \varepsilon) = O_\varepsilon([K : \mathbb{Q}]^{4+\varepsilon})$ (not strong enough!)

[†]Ignoring “compactly boundedness” condition.

Lower bounds for $h(D)$

Lemma [G–S]

(weak) uniform $ABC \implies \text{ht}(j(\tau_D)) \leq (3 + o(1)) \log |D|$

However,

$$\begin{aligned} \text{ht}(j(\tau_D)) &= \frac{1}{h(D)} \sum_{(a,b,c)} \log^+ |j(\tau_{(a,b,c)})| \\ &= \frac{1}{h(D)} \sum_{(a,b,c)} \frac{\pi \sqrt{|D|}}{a} + O(1), \end{aligned}$$

and thus:

Theorem [G–S]

$$h(D) \stackrel{\text{U-abc}}{\geq} \left(\frac{\pi}{3} + o(1) \right) \frac{\sqrt{|D|}}{\log |D|} \sum_{(a,b,c)} \frac{1}{a}$$

$$ax^2 + bxy + cy^2$$

reduced,

$$b^2 - 4ac = D$$

$$\tau_{(a,b,c)} = \frac{-b + \sqrt{D}}{2a}$$

q -expansion!

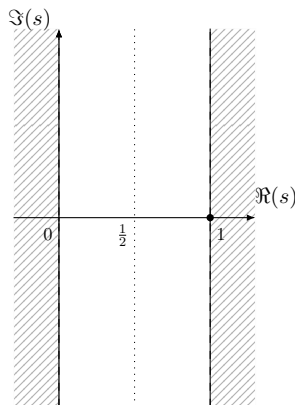
$$j(\tau) = \frac{1}{q} + O(1)$$

- 1 Introduction
- 2 Lower bounds for $h(D)$
- 3 The Siegel zero connection**
- 4 Upper bounds for $h(D)$

The **Dirichlet L -function** of a primitive character $\chi \pmod{q}$:

$$L(s, \chi) := \sum_{n \geq 1} \frac{\chi(n)}{n^s}, \quad (\Re(s) > 1)$$

- Euler product ($\Re(s) > 1$):
 - $L(s, \chi) = \prod_p \frac{1}{1 - \chi(p)p^{-s}}$
- Functional equation:
 - $L^*(s, \chi) := \gamma_\chi(s) L(s, \chi)$ is entire
 - $L^*(s, \chi) = W(\chi) L^*(1 - s, \bar{\chi})$
(where $|W(\chi)| = 1$)
- Dirichlet's PNT:
 - $L(1 + it, \chi) \neq 0, \forall t \in \mathbb{R}$.



Classical zero-free regions

[Gronwall 1913, Landau 1918, Titchmarsh 1933]

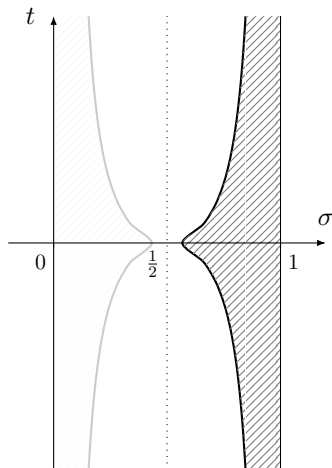
Write $s = \sigma + it$ ($\sigma = \Re(s)$, $t = \Im(s)$), and let $\chi \pmod{q}$ be a primitive character.

There exists $A > 0$ such that, in the region

$$\left\{ s \in \mathbb{C} \mid \sigma \geq 1 - \frac{A}{\log q(|t| + 2)} \right\},$$

the function $L(s, \chi)$ has:

- (χ complex) no zeros;
- (χ real) at most one zero, which is necessarily *real* and *simple* – the so-called **Siegel zero**.



Real primitive Dirichlet characters

$$\left\{ \begin{array}{l} \text{Real primitive} \\ \text{Dirichlet characters} \end{array} \right\} \longleftrightarrow \left\{ \left(\frac{D}{\cdot} \right), \begin{array}{l} D \text{ fundamental} \\ \text{discriminant} \end{array} \right\}$$

| | $\mathbb{Q}(\sqrt{D})$ | $\chi_D \pmod{ D }$ |
|---------------|--|---|
| Factorization | $D = D_1 \cdots D_t$ | $\chi_D = \chi_{D_1} \cdots \chi_{D_t}$ |
| Ramification | (p) split | $\chi_D(p) = 1$ |
| | (p) inert | $\chi_D(p) = -1$ |
| | (p) ramified | $\chi_D(p) = 0$ |
| ∞ | Real / Complex | $\chi_D(-1) = 1 / \chi_D(-1) = -1$ |
| L -function | $\zeta_{\mathbb{Q}(\sqrt{D})}(s) = \sum \mathbf{N}(\mathfrak{a})^{-s}$ | $L(s, \chi_D) = \sum \chi_D(n)n^{-s}$ |

$$\text{Quadratic reciprocity} \iff \zeta_{\mathbb{Q}(\sqrt{D})}(s) = \zeta(s)L(s, \chi_D)$$

“No Siegel zeros” for $D < 0$

Conjecture (“no Siegel zeros” for $D < 0$)

There is $\delta > 0$ such that $L(\beta, \chi_D) \neq 0$ for $1 - \frac{\delta}{\log |D|} \leq \beta < 1$.

Equivalently:

$$\textcircled{1} \quad \frac{L'}{L}(1, \chi_D) \ll \log |D|$$

$$\textcircled{2} \quad \text{ht}(j(\tau_D)) \ll \log |D|$$

$$\textcircled{3} \quad h(D) \gg \frac{\sqrt{|D|}}{\log |D|} \sum_{(a,b,c)} \frac{1}{a}$$

There are precise relations between $\frac{L'}{L}(1, \chi_D)$, $\text{ht}(j(\tau_D))$, and $h(D)$:

$$h(D) \longleftrightarrow \text{ht}(j(\tau_D)) \quad (\text{seen})$$

$$\boxed{\text{ht}(j(\tau_D)) \longleftrightarrow \frac{L'}{L}(1, \chi_D)} \quad (\text{Thm 1})$$

$$\frac{L'}{L}(1, \chi_D) \longleftrightarrow \underline{\text{Siegel zero}} \quad (\text{“tricky”})$$

① \iff ② (Theorem 1)

Theorem 1 (T., 2020+)

For fundamental discriminants $D < 0$,

$$\frac{L'}{L}(1, \chi_D) = \frac{1}{6} \text{ht}(j(\tau_D)) - \frac{1}{2} \log |D| + \underbrace{C + o_{D \rightarrow -\infty}(1)}_{\text{Error term}}$$

where $C = -1.057770\dots$

[†]**Remark.** (Colmez, 1993) Let E_D/\mathbb{C} be an ell. curve w/ CM by $\mathbb{Z}[\tau_D]$. Then:

$$-2 \text{ht}_{\text{Fal}}(E_D) = \frac{1}{2} \log |D| + \frac{L'}{L}(0, \chi_D) + \log 2\pi.$$

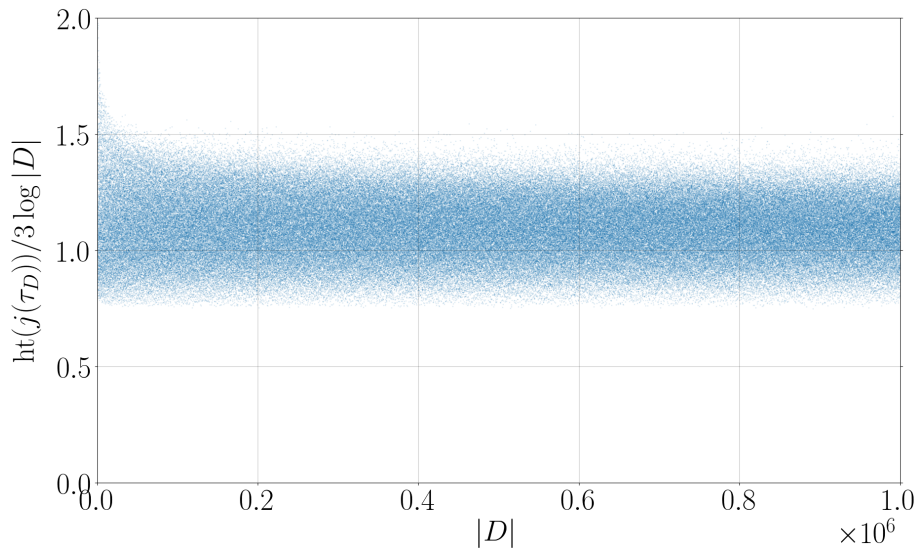
• Colmez + Thm 1 $\implies |\text{ht}_{\text{Fal}}(E_D) - \frac{1}{12} \text{ht}(j(\tau_D))| = 2.65537\dots + o_{D \rightarrow -\infty}(1)$

| | Error term |
|---------------|--------------------------------|
| Colmez (1993) | $O(\log \text{ht}(j(\tau_D)))$ |
| G-S (2000) | $O(\log \log D)$ |

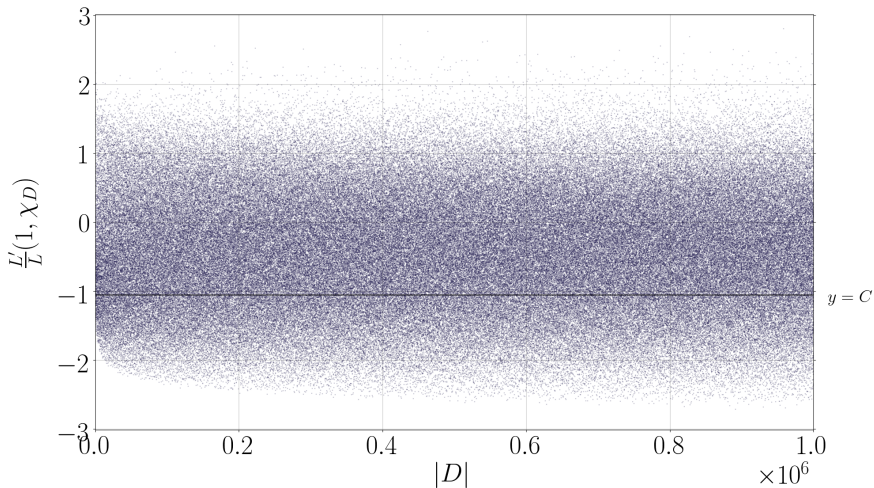
| | Error term |
|-------------------|---------------------|
| Dimitrov (2016)* | $O(1)$ |
| T. (2020+) | $-1.05\dots + o(1)$ |

*Unpublished.

Graph of $ht(j(\tau_D))$



Graph of $\frac{L'}{L}(1, \chi_D)$



$$C = -1.057770\dots$$

Euler–Kronecker constants

The Dedekind ζ -function of $\mathbb{Q}(\sqrt{D})$:

$$\zeta_{\mathbb{Q}(\sqrt{D})}(s) := \sum_{\mathfrak{a} \subseteq \mathcal{O}_{\mathbb{Q}(\sqrt{D})}} \frac{1}{N(\mathfrak{a})^s} \quad \left(\begin{array}{l} = \zeta(s)L(s, \chi_D) \\ = \sum_{\mathcal{A} \in \text{Cl}(D)} \zeta(s, \mathcal{A}) \end{array} \right)$$

where $\zeta(s, \mathcal{A}) = \sum_{\substack{\mathfrak{a} \subseteq \mathcal{A} \\ \mathfrak{a} \text{ integral}}} \frac{1}{N(\mathfrak{a})^s}$ for $\mathcal{A} \in \text{Cl}(D)$ — (partial zeta function)

In general, as $s \rightarrow 1$:

- $\zeta_K(s) = \frac{c_{-1}}{s-1} + c_0 + O(s-1)$
- $\frac{\zeta'_K(s)}{\zeta_K(s)} = -\frac{1}{s-1} + \gamma_K + O(s-1)$
- $\zeta_K(s, \mathcal{A}) = \frac{\varkappa_K}{s-1} + \varkappa_K \mathfrak{K}(\mathcal{A}) + O(s-1)$

(Ihara, 2006)

Euler–Kronecker:

$$\gamma_K := c_0/c_{-1}$$

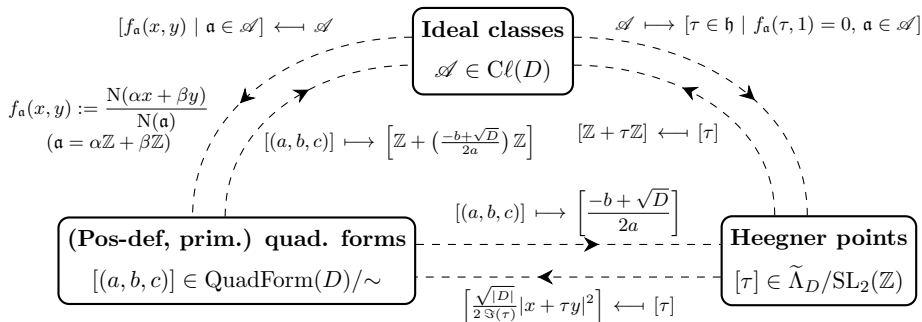
Kronecker limits:

$$\mathfrak{K}(\mathcal{A}), \mathcal{A} \in \text{Cl}_K$$

$$\gamma_K = \frac{1}{h_K} \sum_{\mathcal{A} \in \text{Cl}_K} \mathfrak{K}(\mathcal{A})$$

$$\gamma + \frac{L'}{L}(1, \chi_D) = \frac{1}{h(D)} \sum_{\mathcal{A} \in \text{Cl}(D)} \mathfrak{K}(\mathcal{A})$$

Correspondence for $D < 0$ (Ideals–Forms–Points)



Partial zeta function

$$\zeta(s, \mathcal{A})$$

$$\sum_{\substack{\mathfrak{a} \subseteq \mathcal{A} \\ \mathfrak{a} \text{ integral}}} \frac{1}{N(\mathfrak{a})^s}$$

Epstein zeta function

$$Z_{[(a,b,c)]}(s)$$

$$\sum_{\substack{(x,y) \in \mathbb{Z}^2 \\ (x,y) \neq (0,0)}} \frac{1}{(ax^2 + bxy + cy^2)^s}$$

real-analytic Eisenstein series

$$E([\tau], s)$$

$$\sum_{\substack{(m,n) \in \mathbb{Z}^2 \\ (m,n) \neq 0}} \frac{\Im(\tau)^s}{|m\tau + n|^{2s}}$$

Kronecker limits for $\mathbb{Q}(\sqrt{D})$ ($D < 0$)

$$\zeta(s, \mathcal{A}) = \frac{1}{w_D} \left(\frac{2}{\sqrt{|D|}} \right)^s E(\tau_{\mathcal{A}}, s)$$

$$\mathcal{A} \leftrightarrow \begin{array}{l} \text{reduced} \\ (a, b, c) \end{array} \leftrightarrow \tau_{\mathcal{A}} = \frac{-b + \sqrt{D}}{2a}$$

- For fixed $\tau \in \mathfrak{h}$, the Laurent expansion of E at $s = 1$ is:

$$E(\tau, s) = \frac{\pi}{s-1} + \pi \left(\frac{\pi}{3} \mathfrak{S}(\tau) - \log \mathfrak{S}(\tau) + \mathcal{U}(\tau) \right) + 2\pi(\gamma - \log 2) + O(s-1)$$

where:

$$\mathcal{U}(\tau) := 4 \sum_{n \geq 1} \left(\sum_{d|n} \frac{1}{d} \right) \frac{\cos(2\pi n \Re(\tau))}{e^{2\pi n \Im(\tau)}} \quad \left(= -2 \log(|\eta(\tau)|^2) - \frac{\pi}{3} \mathfrak{S}(\tau) \right)$$

Kronecker's (first) limit formula

$$\Re(\mathcal{A}) = \underbrace{\frac{\pi}{3} \mathfrak{S}(\tau_{\mathcal{A}}) - \log \mathfrak{S}(\tau_{\mathcal{A}}) + \mathcal{U}(\tau_{\mathcal{A}})}_{\mathcal{A}\text{-dependent term}} \underbrace{- \frac{1}{2} \log |D|}_{D\text{-dependent}} \underbrace{+ 2\gamma - \log 2}_{\text{constant term}}$$

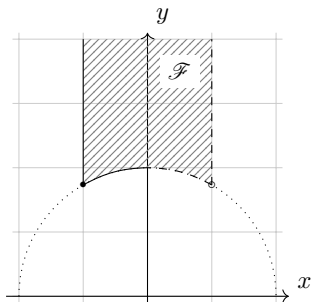
Duke's equidistribution theorem

Theorem (Duke, 1988)

$\Lambda_D = \{\tau_{\mathcal{A}} \mid \mathcal{A} \in \text{Cl}(D)\}$ is equidistributed in \mathcal{F} .

If $f : \mathcal{F} \rightarrow \mathbb{C}$ is Riemann-integrable, then:

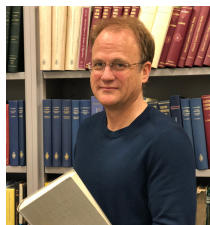
$$\lim_{D \rightarrow -\infty} \frac{1}{h(D)} \sum_{\mathcal{A} \in \text{Cl}(D)} f(\tau_{\mathcal{A}}) = \int_{\mathcal{F}} f(z) d\mu$$



$$z = x + iy$$

$$d\mu = \frac{3}{\pi} \frac{dx dy}{y^2}$$

(Normalized
hyperbolic area
element)



W. Duke

Proof of Theorem 1

KLF: $\mathfrak{K}(\mathcal{A}) = \frac{\pi}{3} \mathfrak{S}(\tau_{\mathcal{A}}) - \log \mathfrak{S}(\tau_{\mathcal{A}}) + \mathcal{U}(\tau_{\mathcal{A}}) - \frac{1}{2} \log |D| + (2\gamma - \log 2)$

• $\int_{\mathcal{F}} \mathcal{U}(z) d\mu = 0.000151\dots$

• $\int_{\mathcal{F}} \log(y) d\mu = 0.952984\dots$

• $\int_{\mathcal{F}} \left(\log^+ |j(z)| - 2\pi y \right) d\mu = -0.068692\dots$

$$\frac{1}{h(D)} \sum_{\mathcal{A} \in \text{Cl}(D)} \log \mathfrak{S}(\tau_{\mathcal{A}})$$

is **hard** without
Duke's theorem!

↓ (by Duke's theorem)

$$\gamma_{\mathbb{Q}(\sqrt{D})} = \frac{1}{6} \left(\frac{1}{h(D)} \sum_{\mathcal{A} \in \text{Cl}(D)} \log^+ |j(\tau_{\mathcal{A}})| \right) - \frac{1}{2} \log |D| + C' + o(1)$$

↓

$$\frac{L'}{L}(1, \chi_D) = \frac{1}{6} \text{ht}(j(\tau_D)) - \frac{1}{2} \log |D| + C + o(1) \quad \square$$

$$C = -1.057770\dots$$

- 1 Introduction
- 2 Lower bounds for $h(D)$
- 3 The Siegel zero connection
- 4 Upper bounds for $h(D)$**

Theorem 2 (T., 2020+)†

$$(\text{weak}) \text{ uniform } abc \implies \limsup_{D \rightarrow -\infty} \frac{L'(1, \chi_D)}{\log |D|} = 0$$

- Algebraic: $\limsup_{D \rightarrow -\infty} \frac{\text{ht}(j(\tau_D))}{3 \log |D|} \stackrel{U\text{-}abc}{\leq} 1$ † (Granville–Stark, 2000)
- Analytic: $\limsup_{D \rightarrow -\infty} \frac{\text{ht}(j(\tau_D))}{3 \log |D|} \geq 1$ (T., 2020+) [unconditional!]

MAIN COROLLARY:

$$\text{weak } U\text{-}abc + \text{Prop 1} \implies \max\{\beta \in \mathbb{R} \mid L(\beta, \chi_D) = 0\} < 1 - \frac{\sqrt{5}\varphi + o(1)}{\log |D|}$$

The summation $\sum_{\varrho(\chi)} \frac{1}{\varrho}$

Let $D < 0$ be a fundamental discriminant.

- Classical formula (Functional Eq. + Hadamard product)

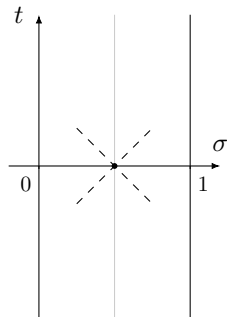
$$\frac{L'}{L}(s, \chi_D) = \left(\sum_{\varrho(\chi_D)} \frac{1}{s - \varrho} \right) - \frac{1}{2} \log \left(\frac{|D|}{\pi} \right) - \frac{\Gamma'}{\Gamma} \left(\frac{s+1}{2} \right)$$

- By the reflection formula:

$$L(\varrho, \chi) = 0 \implies \begin{cases} \varrho, 1 - \bar{\varrho} \text{ zeros of } L(s, \chi) \\ \bar{\varrho}, 1 - \varrho \text{ zeros of } L(s, \bar{\chi}) \end{cases}$$

- Hence:

$$\sum_{\varrho(\chi_D)} \frac{1}{\varrho} = \frac{1}{2} \log |D| + \frac{L'}{L}(1, \chi_D) - \frac{1}{2} (\gamma + \log \pi)$$



Pairing-up zeros (1/2)

In general, writing ($\varrho \in$ critical strip, $\varepsilon > 0$):

$$\Pi_\varepsilon(\varrho) := \frac{1}{\varrho + \varepsilon} + \frac{1}{\bar{\varrho} + \varepsilon} + \frac{1}{1 - \varrho + \varepsilon} + \frac{1}{1 - \bar{\varrho} + \varepsilon} \quad \text{(pairing-up)}$$

we get:

$$\sum_{\varrho(\chi_D)} \frac{\Pi_{\sigma-1}(\varrho)}{4} = \frac{1}{2} \log |D| + \frac{L'}{L}(\sigma, \chi_D) - \frac{1}{2} \left(-\frac{\Gamma'}{\Gamma} \left(\frac{\sigma+1}{2} \right) + \log \pi \right)$$

- Goal: Estimate Π_0 in the critical strip
- Idea: Perturb ε in Π_ε

Pairing-up zeros (2/2)

Lemma 1 (The pairing-up lemma)

i For every $s \in \mathfrak{S}$, we have:

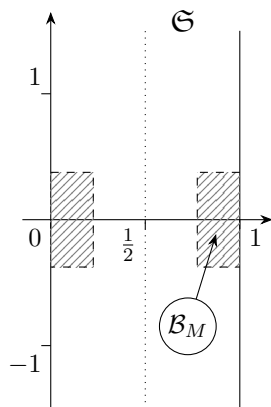
$$\Pi_0(s) > \frac{\Pi_{\varphi-1}(s)}{2\varphi-1} \quad \left(w/\varphi = \frac{1+\sqrt{5}}{2} \right)$$

ii Take $0 < \varepsilon < 1$, $M \geq 2$, and consider

$$\mathcal{B}_M := \left\{ s \in \mathfrak{S} \mid \sigma > 1 - \frac{1}{M}, |t| < \frac{1}{\sqrt{M}} \right\}$$

Then, in $\mathfrak{S} \setminus (\mathcal{B}_M \cup (1 - \mathcal{B}_M))$, we have:

$$|\Pi_0(s) - \Pi_\varepsilon(s)| < 5M\varepsilon \Pi_\varepsilon(s)$$



Proof of Main Corollary

Proposition 1

For any non-trivial zero ρ of $L(s, \chi_D)$, we have:

$$\Re\left(\frac{1}{1-\rho}\right) < \left(1 - \frac{1}{\sqrt{5}}\right) \frac{1}{2} \log |D| + \frac{L'}{L}(1, \chi_D) + (\sqrt{5}\varphi - 1)$$

- Using that **weak U-abc** + Thm 1 $\implies \limsup_{D \rightarrow -\infty} \frac{\frac{L'}{L}(1, \chi_D)}{\log |D|} \leq 0$, if $\beta \in [0, 1[$ is a zero of $L(s, \chi_D)$, then

$$\frac{1}{1-\beta} < \left(\left(1 - \frac{1}{\sqrt{5}}\right) \frac{1}{2} + o(1) \right) \log |D|.$$

- Since $\left(1 - \frac{1}{\sqrt{5}}\right) \frac{1}{2} = \sqrt{5}\varphi$, rearranging yields $\beta < 1 - \frac{\sqrt{5}\varphi + o(1)}{\log |D|}$. \square

Isolating the Siegel zero (1/2)

Using the *second* pairing-up lemma:

Proposition 2 (T., 2020+)

Suppose that for $\chi \pmod{q}$ primitive, $L(s, \chi)$ has no zeros (apart from possible Siegel zeros $\beta = \beta_\chi$) in the region

$$\left\{ s \in \mathbb{C} \mid \sigma \geq 1 - \frac{1}{f(q)}, |t| \leq \frac{1}{\sqrt{f(q)}} \right\},$$

for some $f : \mathbb{Z}_{\geq 2} \rightarrow \mathbb{R}$ with $2 \leq f(q) \ll \log q$. Then:

$$\Re\left(\frac{L'}{L}(1, \chi)\right) = \frac{1}{1 - \beta} + O\left(\sqrt{f(q) \log q}\right)$$

- Classical ZFR: $f(q) = O(\log q)$.

$$\text{“no Siegel zeros”} \iff \frac{L'}{L}(1, \chi_D) \ll \log |D|$$

Isolating the Siegel zero (2/2)

An integer q is k -smooth if all its prime factors are $\leq k$.

Chang's zero-free regions (2014)

For $\chi \pmod{q}$ primitive, $L(s, \chi)$ has no zeros (apart from possible Siegel zeros) in the region

$$\left\{ s \in \mathbb{C} \mid \sigma \geq 1 - \frac{1}{f(q)}, |t| \leq 1 \right\},$$

where $f : \mathbb{Z}_{\geq 2} \rightarrow \mathbb{R}$ satisfies:

$$f(q) = o(\log q) \text{ for } q^{o(1)\text{-smooth moduli}}$$



M.-C. Chang

- Chang's ZFR + Proposition 2:

$$\frac{L'}{L}(1, \chi_D) = \frac{1}{1 - \beta_D} + O\left(\sqrt{f(|D|)} \log |D|\right),$$

where $\beta_D := \max\{\beta \in \mathbb{R} \mid L(\beta, \chi_D) = 0\}$.

$$\frac{L'}{L}(1, \chi_D) = \frac{1}{1 - \beta_D} + O\left(\sqrt{f(|D|) \log |D|}\right)$$

- Since $\frac{1}{1 - \beta_D} > 0$, and $\sqrt{f(|D|) \log |D|} = o(\log |D|)$ for $|D|^{o(1)}$ -smooth fundamental discriminants, it follows that

$$\limsup_{\substack{D \rightarrow -\infty \\ |D|^{o(1)\text{-smooth}}} \frac{\frac{L'}{L}(1, \chi_D)}{\log |D|} \geq 0. \quad \square$$

Corollary (strong “no Siegel zeros”)

Assume weak uniform *abc*. For any $A > 0$, as $D \rightarrow -\infty$ through $|D|^{o(1)}$ -smooth discriminants, all but finitely many D satisfy

$$\max\{\beta \in \mathbb{R} \mid L(\beta, \chi_D)\} < 1 - \frac{A}{\log |D|}.$$

Upper bounds for $h(D)$

For fundamental discriminants $D < 0$ we have:



$$\frac{3}{\sqrt{5}} \log |D| + O(1) \leq \text{ht}(j(\tau_D)) \stackrel{*}{\leq} (1 + o(1)) 3 \log |D|$$

$$(1 + o(1)) \frac{\pi \sqrt{|D|}}{3 \log |D|} \sum_{(a,b,c)} \frac{1}{a} \stackrel{*}{\leq} h(D) \leq \left(\sqrt{5} + O\left(\frac{1}{\log |D|}\right) \right) \frac{\pi \sqrt{|D|}}{3 \log |D|} \sum_{(a,b,c)} \frac{1}{a}$$

where:

- starred ineq.s “ $\stackrel{*}{\leq}$ ” are conditional on the weak uniform *ABC*.
- if D is $|D|^{o(1)}$ -smooth, then starred ineq.s become (asymptotic) *equalities*.
- GRH \implies asymptotic starred *equalities* for all D , with error term a factor of $O\left(\frac{\log \log |D|}{\log |D|}\right)$. (E.g., $\text{ht}(j(\tau_D)) = 3 \log |D| + O(\log \log |D|)$ under GRH).

ありがとう
ございました

-  A. Granville and H. M. Stark,
ABC implies no “Siegel zeros” for L -functions of characters with
negative discriminant
Invent. Math. **139** (2000), 509–523.
-  C. Táfula,
On Landau–Siegel zeros and heights of singular moduli
To appear in *Acta Arithmetica*. *Preprint* [arXiv:1911.07215]