Welcome to ellips id day!

Now: Ana Rita Pires - Infinite staircases and reflexive polygons (mostly on joint work with Dan Cristofaro-Gardiner, Tara Holm, Alessia Mandini)

In 1h45m: Roger Casals - Sharp ellipsoid embeddings and toric mutations (on joint work with Renato Vianna)

In 4h45m: Dan Cristofaro-Gardiner - Obstructing infinite staircases (partly on joint work with Dan Cristofaro-Gardiner, Tara Holm, Alessia Mandini)

INFINITE STAIRCASES AND REFLEXIVE POLYGONS

DAN CRISTOFARO-GARDINER, TARA S. HOLM, ALESSIA MANDINI, AND ANA RITA PIRES

ABSTRACT. We explore the question of when an infinellipsoid embedding function of a convex toric domain. For the four dimensions, we conjecture a complete answer to the that are distinguished by the fact that their moment pubetter when infinite staircases occur, we prove that any in accumulation point given as the solution to an explicit qualiform proof of the existence of infinite staircases for of the first, we use recursive families of almost toric fibration into closed symplectic manifolds. In order to establish domains, we prove a result of potentially independent in embeds into a closed symplectic toric four-manifold if an a corresponding convex toric domain. For the second convex lattice paths that provide obstructions to embedd of Usher, who finds infinite families of infinite staircases

IAS Summer Collaborators Program



Contents

Sharp Ellipsoid Embeddings and Toric Mutations

ROGER CASALS RENATO VIANNA

ABSTRACT: This article introduces a new method to construct volume-filling symplectic embeddings of 4-dimensional ellipsoids by employing polytope mutations in toric and almost-toric varieties. The construction uniformly recovers the sharp sequences for the Fibonacci Staircase of McDuff-Schlenk, the Pell Staircase of Frenkel-Müller and the Cristofaro-Gardiner-Kleinman's Staircase, and adds new infinite sequences of sharp ellipsoid embeddings. In addition, we initiate the study of symplectic tropical curves for almost-toric fibrations and emphasize the connection to quiver combinatorics.

1 Introduction

The central novel contribution of the a infinite staircases for symplectic ellips explanation for the sharp embeddings Staircase [52, 53] and the Frenkel-Mü Cristofaro-Gardiner-Kleinman [12]. I (X, ω) with a sharp infinite staircase in turally all such domains with this prop the first part of the article, presented in

The manuscript also develops a new u



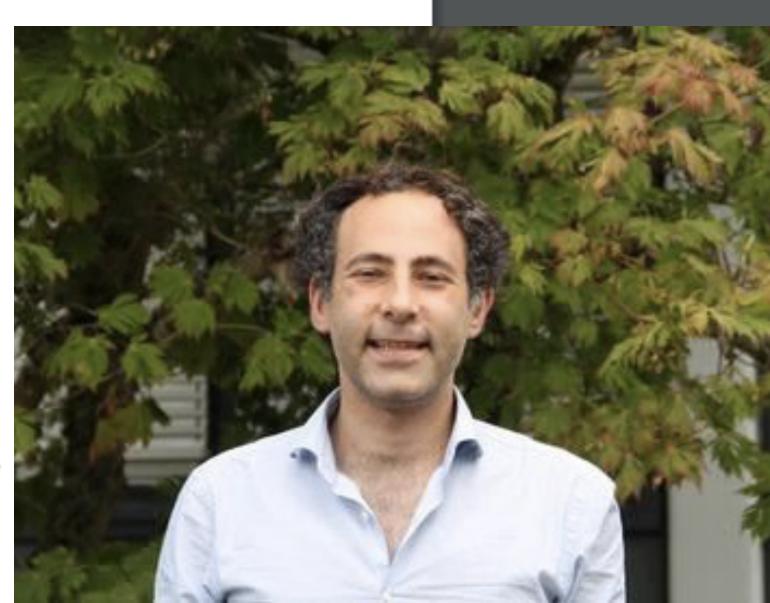


Special eccentricities of rational four-dimensional ellipsoids

Dan Cristofaro-Gardiner April 29, 2020

Abstract

A striking result of McDuff and Schlenk asserts that in determining when a four-dimensional symplectic ellipsoid can be symplectically embedded into a four-dimensional symplectic ball, the answer is governed by an "infinite staircase" determined by the odd-index Fibonacci numbers and the Golden Mean. Here we study embeddings of one four-dimensional symplectic ellipsoid into another, and we show that if the target is rational, then the infinite staircase phenomenon found by McDuff and Schlenk is quite rare. Specifically, in the ratio-



THE EMBEDDING CAPACITY OF 4-DIMENSIONAL SYMPLECTIC ELLIPSOIDS

DUSA MCDUFF AND FELIX SCHLENK

ABSTRACT. This paper calculates the function c(a) whose value at a is the infimum of the size of a ball that contains a symplectic image of the ellipsoid E(1,a). (Here $a \ge 1$ is the ratio of the area of the large axis to that of the smaller axis.) The structure of the graph of c(a) is surprisingly rich. The volume constraint implies

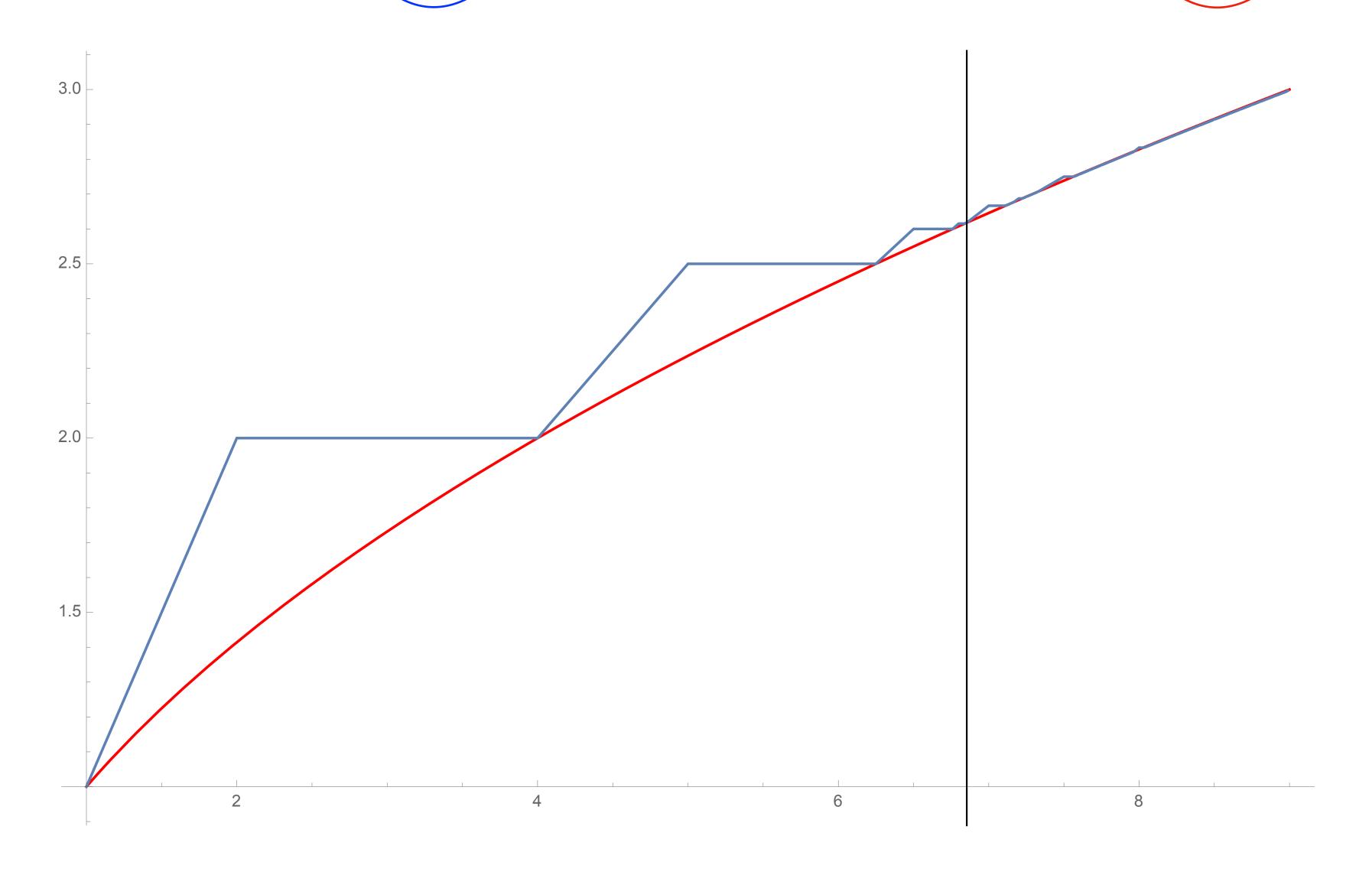
$$c(a) := c_{B^4}(a) := \inf \left\{ \lambda | E(1, a) \hookrightarrow B^4(\lambda) \right\}$$

A symplectic embedding is a map $\psi:(M_1,\omega_1)\hookrightarrow (M_2,\omega_2)$ such that $\psi^*\omega_2=\omega_1$.

$$E(a_1, a_2) := \left\{ (z_1, z_2) \in \mathbb{C}^2 \middle| \pi \left(\frac{|z_1|^2}{a_1} + \frac{|z_2|^2}{a_2} \right) < 1 \right\}$$

$$B^4(\lambda) := E(\lambda, \lambda)$$

Volume constraint



Q: Why look at this function?

- Gromov non-squeezing: A ball embeds symplectically into a cylinder if and only if it embeds via inclusion.
- Gromov width of a symplectic manifold M: What is the size of the biggest ball which embeds symplectically into M?
- Packing stability (Biran, Buse-Hind): there is no obstruction beyond volume to embedding a collection of small enough balls into (some) M.
- Hofer conjecture on ellipsoids (McDuff): E(a,b) embeds into E(c,d) if and only if(a certain combinatorial relation between a,b,c,d).....

Q: Is this "infinite staircase" behaviour a characteristic of this function or a characteristic of the ball?

- $c_X(a) = \inf \{\lambda | E(1, a) \hookrightarrow \lambda X\}$, where $\lambda(X, \omega) = (X, \lambda \omega)$
- What does c_X(a) look like for other targets X?

In general, c_X(a) is piecewise linear when not equal to the volume constraint. We say that it has an **infinite staircase** if it has infinitely many non-smooth points.

- Does it always an infinite staircase? If yes, WHY? If not, when does it and when does it not, and WHY?
- Other work on infinite staircases since then:
- X = polydisk D(1)xD(1)

Frenkel-Muller

• X = E(1,1), E(1,2), E(2,3)

Cristofaro-Gardiner — Kleinman

X = certain polydisks D(1)xD(b)

Usher

• X = certain Hirzebruch surfaces Bertozzi, Holm, Maw, McDuff, Mwakyoma, P., Weiler

• full embeddings for X = ball, polydisk, and more

Casals - Vianna

Let X be a closed toric symplectic manifold (or more generally a convex toric domain with finite blowup vector).

If the ellipsoid embedding function $c_X(a)$ has an infinite staircase then it accumulates at a_0 , a real solution of the quadratic equation

$$a^2 - \left(\frac{\text{per}^2}{\text{vol}} - 2\right)a + 1 = 0.$$

Furthermore, at a_0 the ellipsoid embedding function touches the volume curve:

$$c_X(a_0) = \sqrt{\frac{a_0}{\text{vol}}}.$$

- 1. What are convex toric domains and how do they generalize closed toric symplectic manifolds in this context?
- 2. Why does the staircase have to accumulate at a finite point (rather than going off to infinity)?
- 3. This equation usually has two solutions, what about the other one?
- 4. What are per and vol?
- 5. What is the "volume curve"?

How good is this as an obstruction to the existence of infinite staircases?

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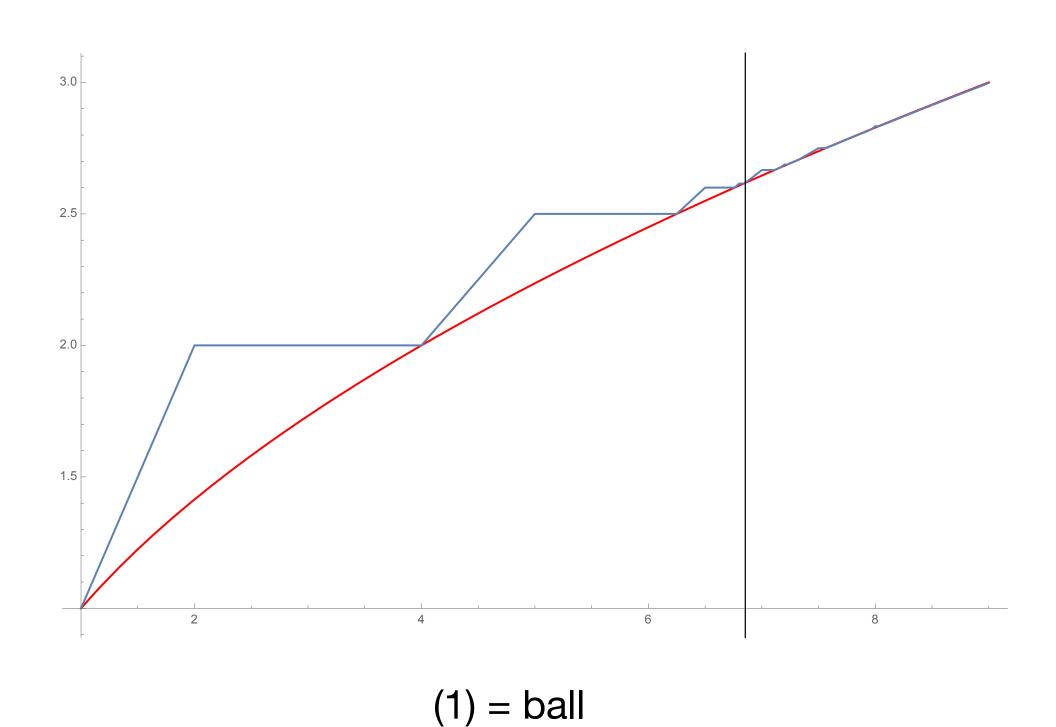
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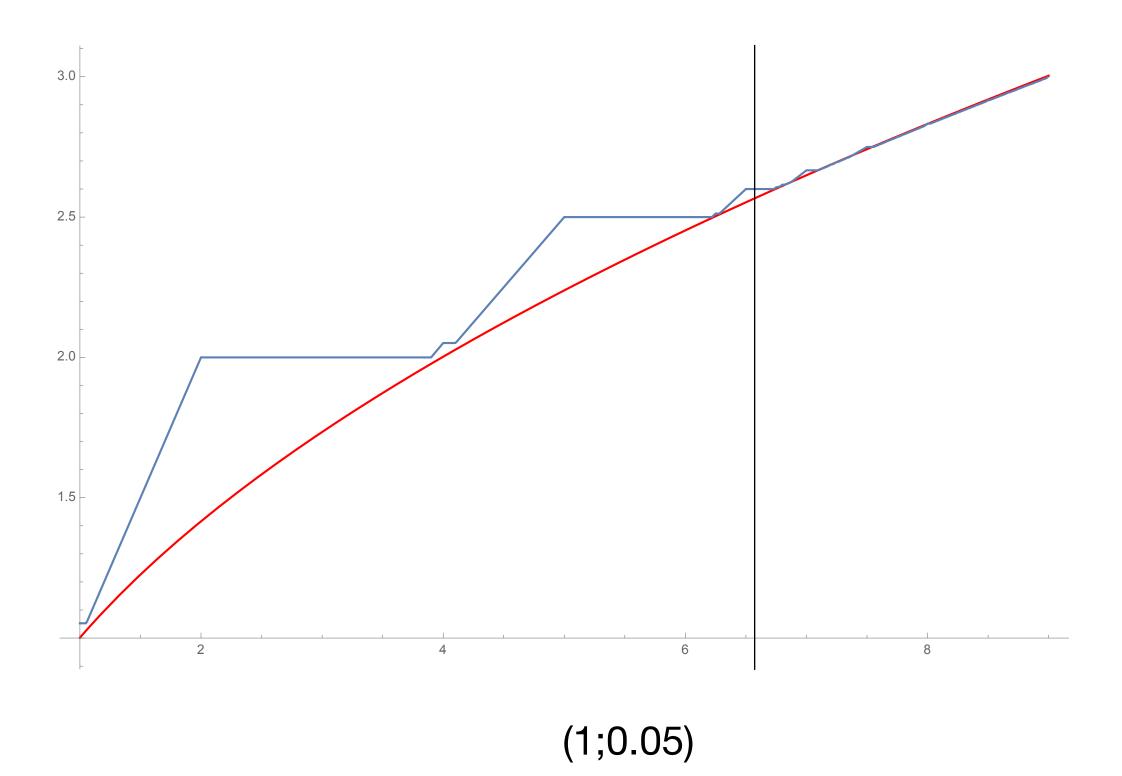
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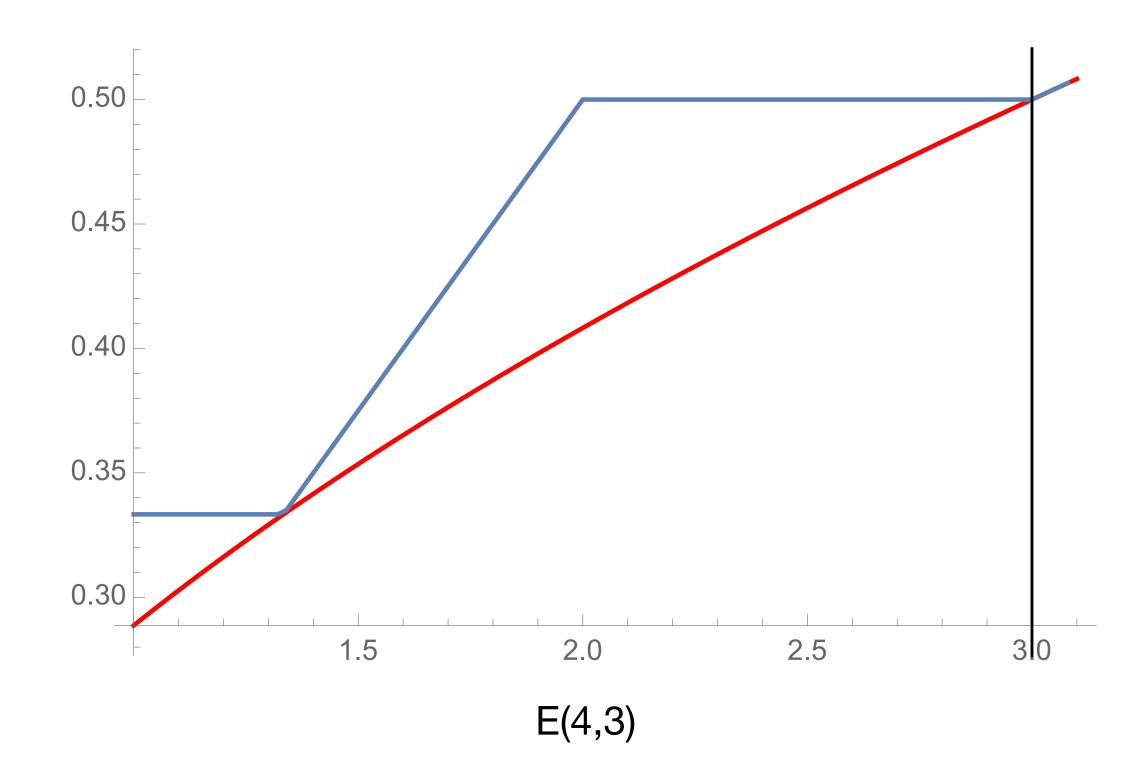
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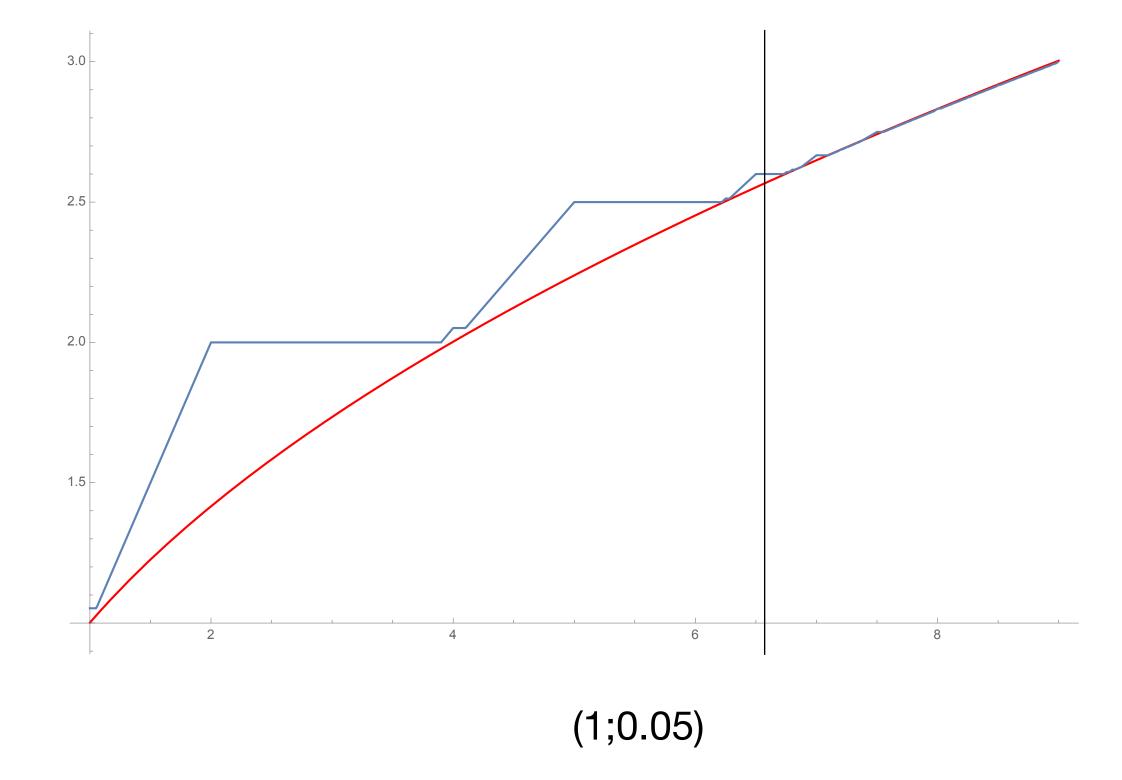
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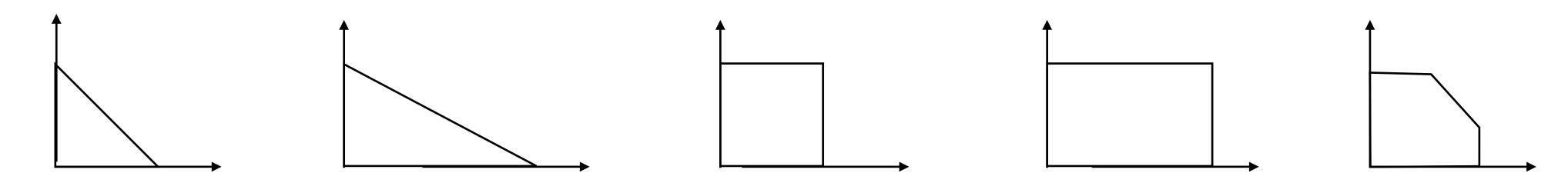




1. What are convex toric domains and how do they generalize closed toric symplectic manifolds?

Let
$$\mu: \mathbb{C}^2 \longrightarrow \mathbb{R}^2$$
 be given by $(z_1, z_2) \mapsto \pi(|z_1|^2, |z_2|^2)$.

Convex toric domain: for a convex region $\Omega \subset \mathbb{R}^2_{\geq 0}$, define $X_{\Omega} = \mu^{-1}(\Omega)$.



- A convex toric domain can be described in terms of a blowup vector: (b; b1, b2,).
- Karshon, Kessler, Pinsonnault: Any closed toric symplectic 4-manifold is symplectomorphic to CP2 or CP1xCP1 blown-up a finite number of times.
- Symplectomorphic manifolds have the same ellipsoid embedding function.

Theorem: (Cristofaro-Gardiner, Holm, Mandini, P.)

Let $\Omega \subset \mathbb{R}^2_{\geq 0}$ be a convex region that is also a Delzant polygon for a closed toric symplectic manifold M. Then

$$E(a,b) \hookrightarrow M \iff E(a,b) \hookrightarrow X_{\Omega}.$$

Let X be a closed toric symplectic manifold (or more generally a convex toric domain with finite blowup vector).

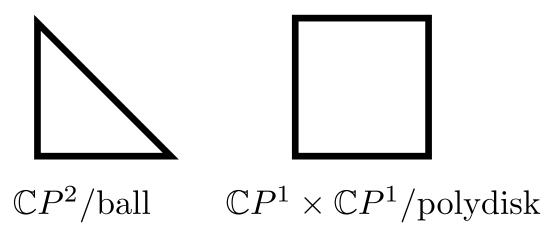
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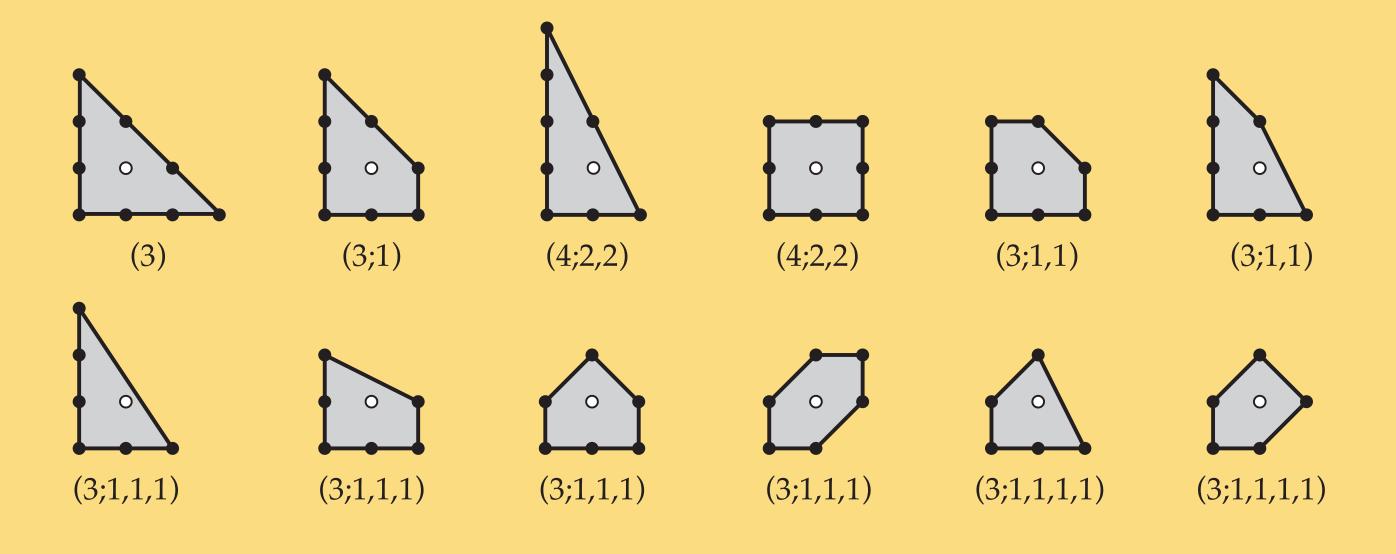
$$c_X(a_0) = \sqrt{\frac{a_0}{\text{vol}}}.$$

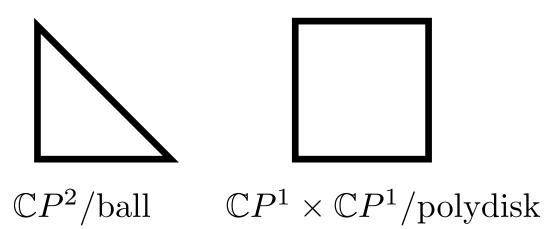
- 2. Why does the staircase have to accumulate at a finite point (rather than going off to infinity)?
- 3. A quadratic equation usually has two solutions, what about the other one?
- 4. What are per and vol?
- 5. What is the "volume curve"?
- 2. McDuff; Cristofaro-Gardiner: for X a convex toric domain, $E(1,a) \hookrightarrow X \iff \sqcup_i B(a_i) \hookrightarrow X$ Bute, Hind, Opshtein: all closed symplectic 4-manifolds have the packing stability property, i.e., there are no obstructions beyond volume to embedding a collection of sufficiently small balls.
- 3. The solutions are a0 and 1/a0. There is an involution of $c_X(a)$ about a=1 because E(1,1/a) is essentially a rescaling of E(1,a). We only consider $c_X(a)$ with a>1.
- 4. If X_{Ω} has moment image Ω and blowup vector $(b; b_1, \ldots, b_n)$, then per = affine perimeter of $\Omega = 3b \sum b_i$ vol = $2 \times$ area of $\Omega = b^2 \sum b_i^2$
- 5. $E(1,a) \hookrightarrow tX \implies \text{volume}(E(1,a)) \leq \text{volume}(tX) \implies a \leq t^2 \text{vol} \implies t \geq \sqrt{\frac{a}{\text{vol}}}$



Theorem: (Cristofaro-Gardiner, Holm, Mandini, P.)

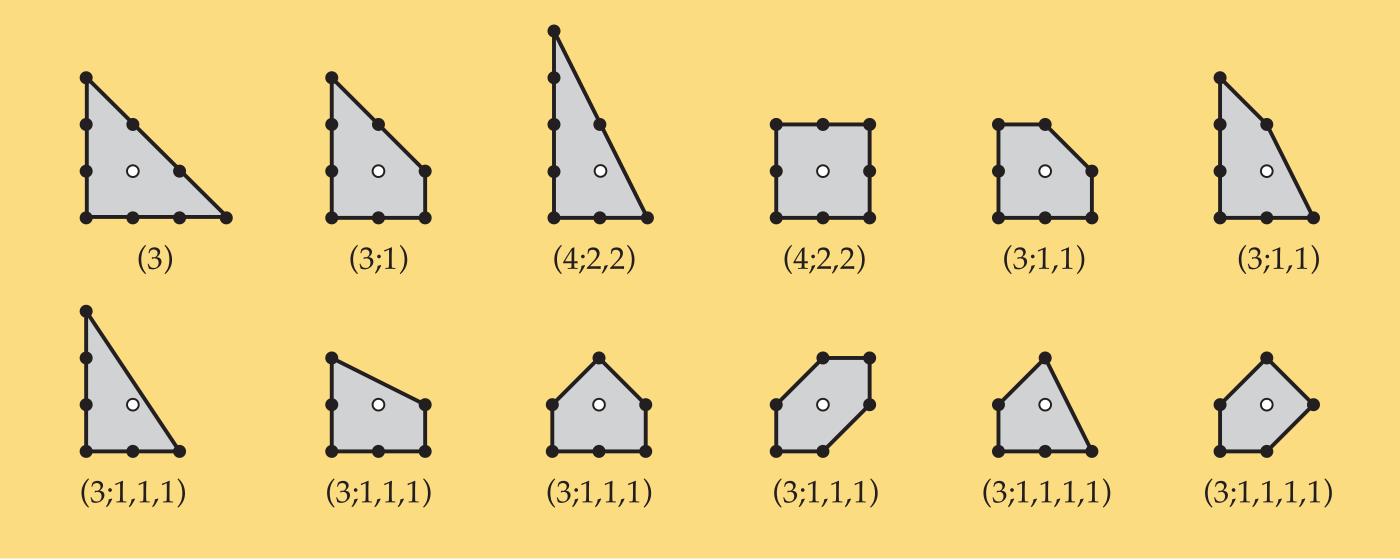
There is an infinite staircase in the ellipsoid embedding function $c_X(a)$ for the following convex toric domains:

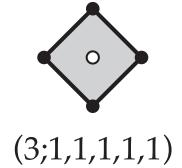




Theorem: (Cristofaro-Gardiner, Holm, Mandini, P.)

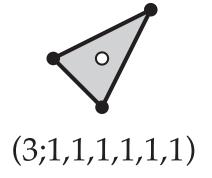
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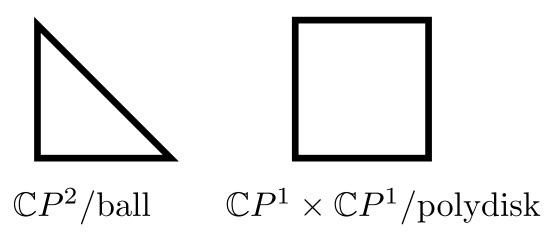






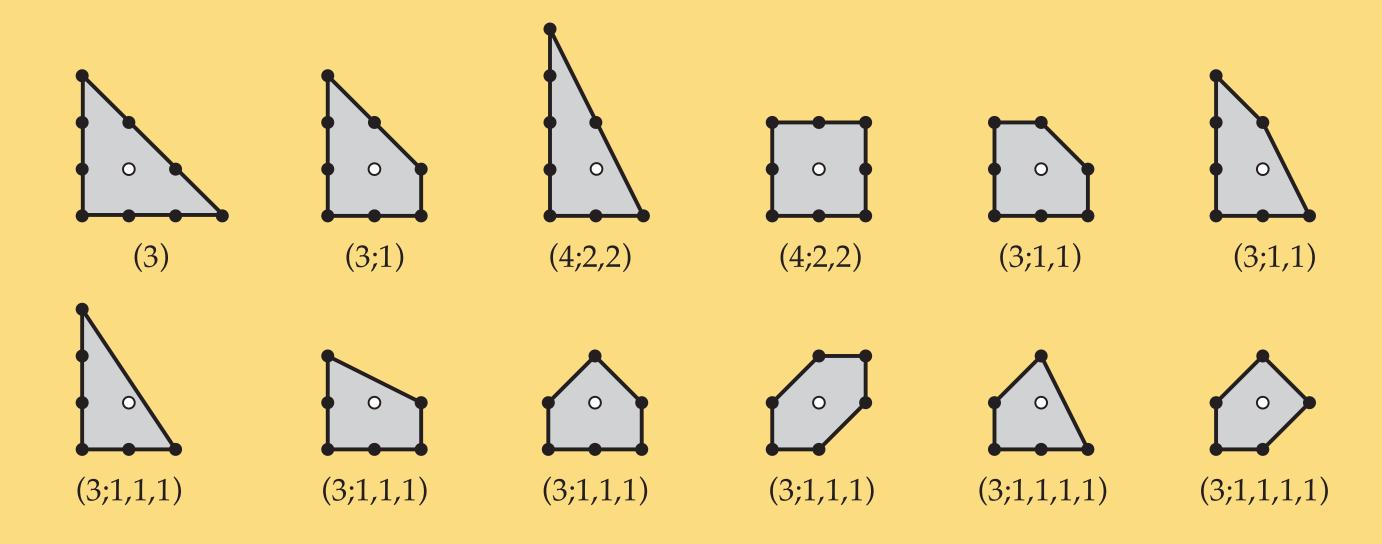






Theorem: (Cristofaro-Gardiner, Holm, Mandini, P.)

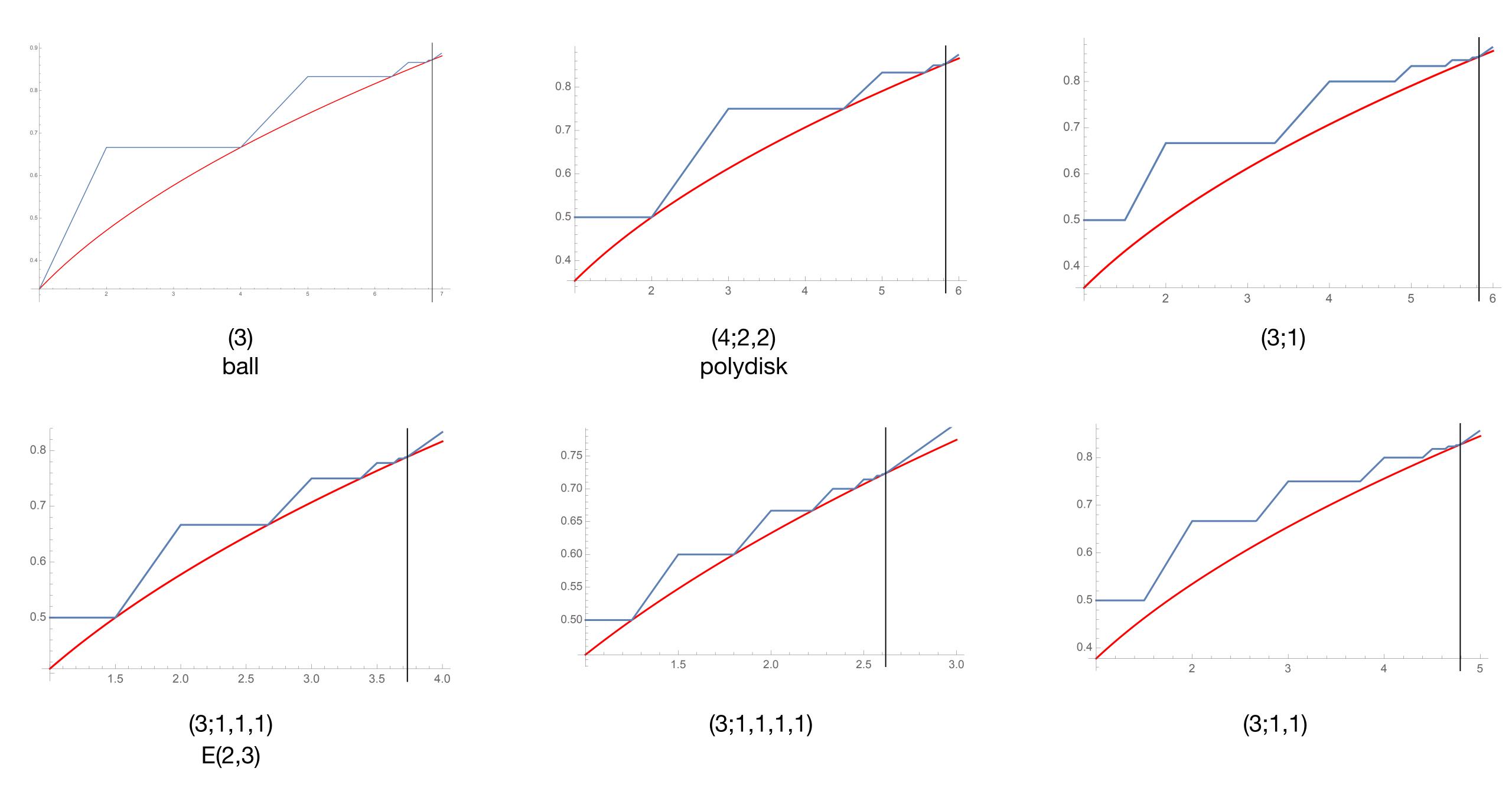
There is an infinite staircase in the ellipsoid embedding function $c_X(a)$ for the following convex toric domains:



Conjecture: If $c_X(a)$ has an infinite staircase, then the moment polygon of X is a reflexive polygon.

In particular, the 12 examples above are the only (rational) ones with infinite staircases (up to scaling).

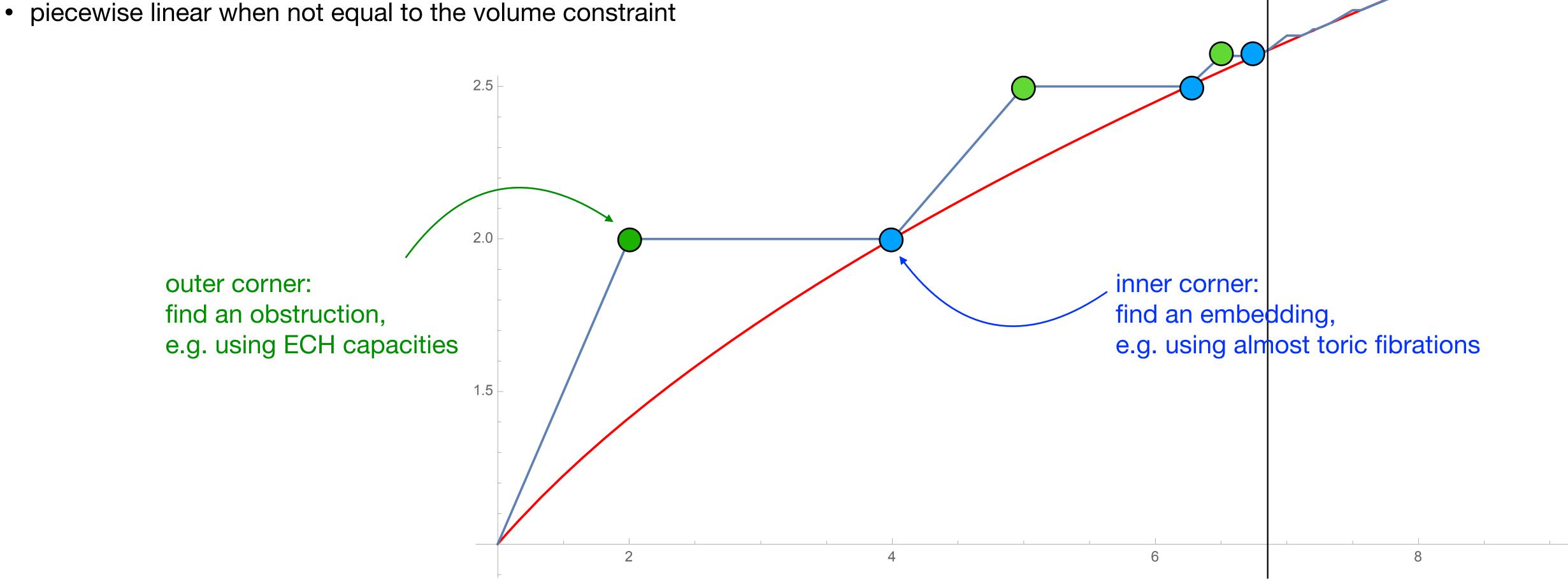
What do these staircases look like?

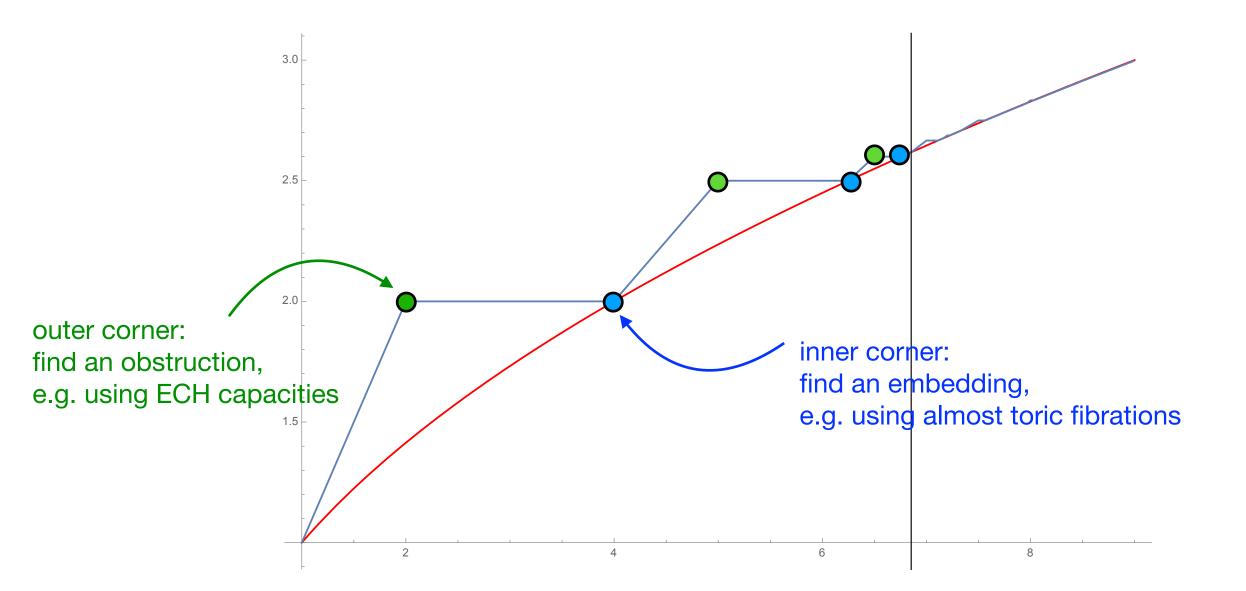


How does one prove that an infinite staircase exists?

For a convex toric domain with finite blowup vector, the ellipsoid embedding function is:

- continuous
- non-decreasing
- has the following scaling property: $c_X(t \, a) \le t \, c_X(a)$ for all $t \ge 1$
- equal to the volume curve for a>>1

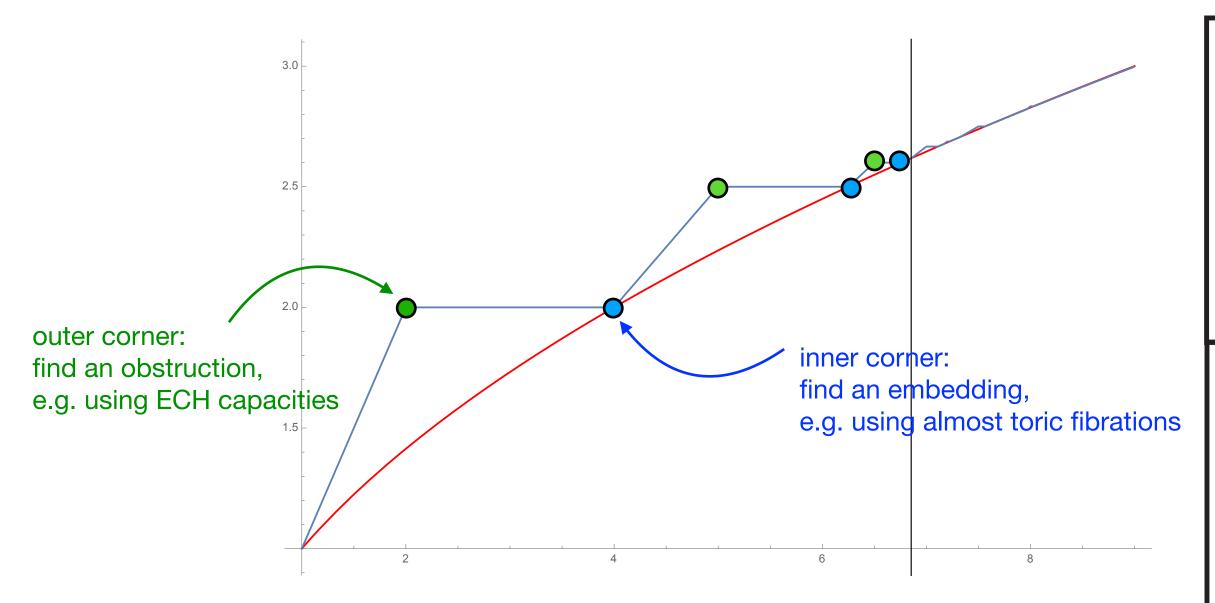




[Cristofaro-Gardiner] In the problem of embedding a concave toric domain into a convex toric domain, ECH capacities are sharp.

Therefore:
$$E(1,a)\hookrightarrow \lambda X\iff C_k\left(E(1,a)\right)\leq C_k\left(\lambda X\right) \text{ for all }k\in\mathbb{N}$$
 $\iff \lambda\geq \frac{C_k\left(E(1,a)\right)}{C_k\left(X\right)} \text{ for all }k\in\mathbb{N}$

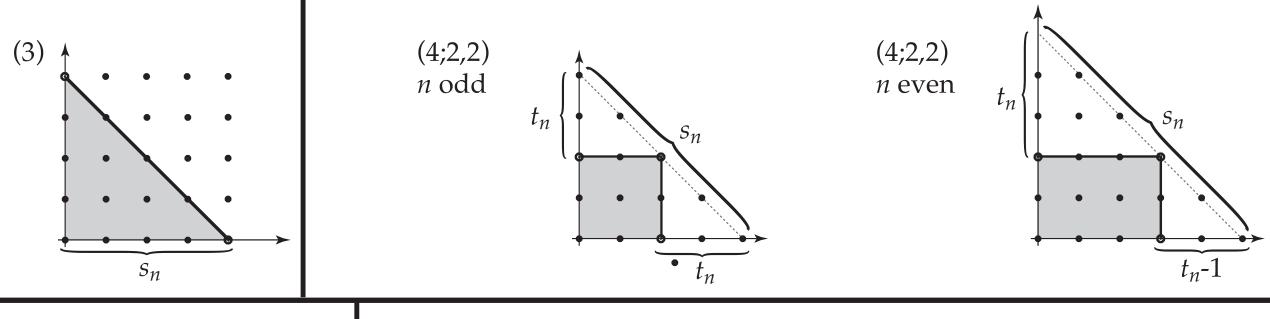
Therefore:
$$c_X(a) = \sup_{k \in \mathbb{N}} \frac{C_k(E(1,a))}{C_k(X)}$$
 easy hard

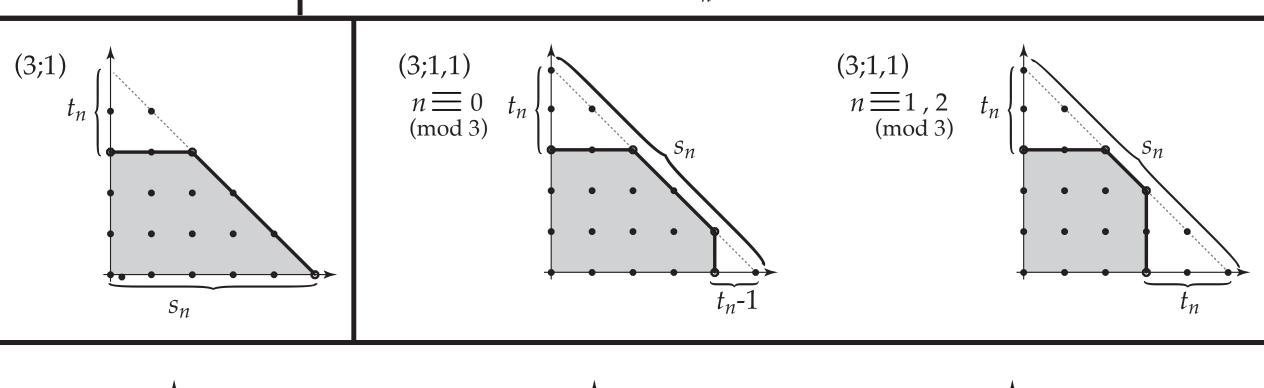


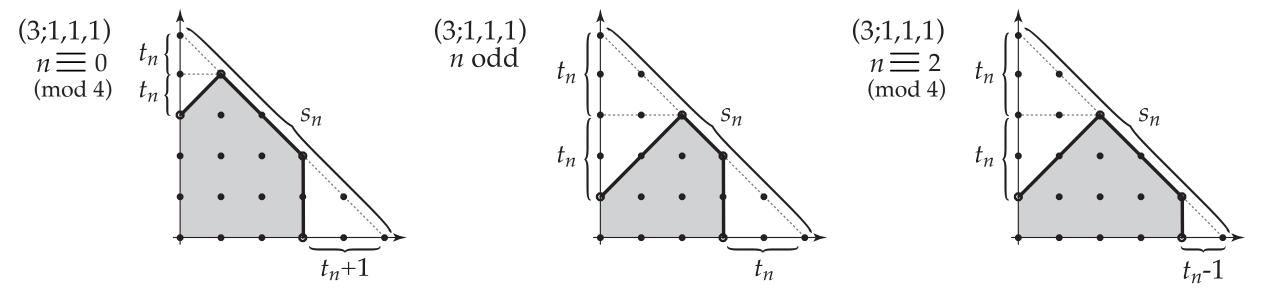
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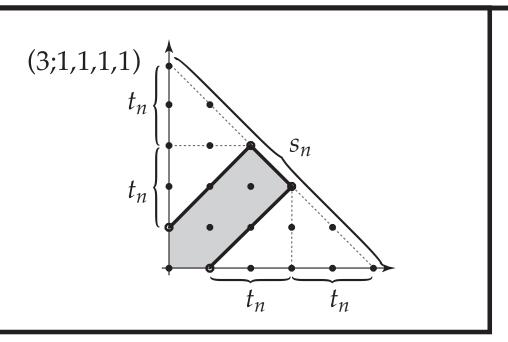
Therefore: $E(1,a)\hookrightarrow \lambda X\iff C_k\left(E(1,a)\right)\leq C_k\left(\lambda\right)$ $\iff \lambda\geq \frac{C_k\left(E(1,a)\right)}{C_k\left(X\right)}$ for

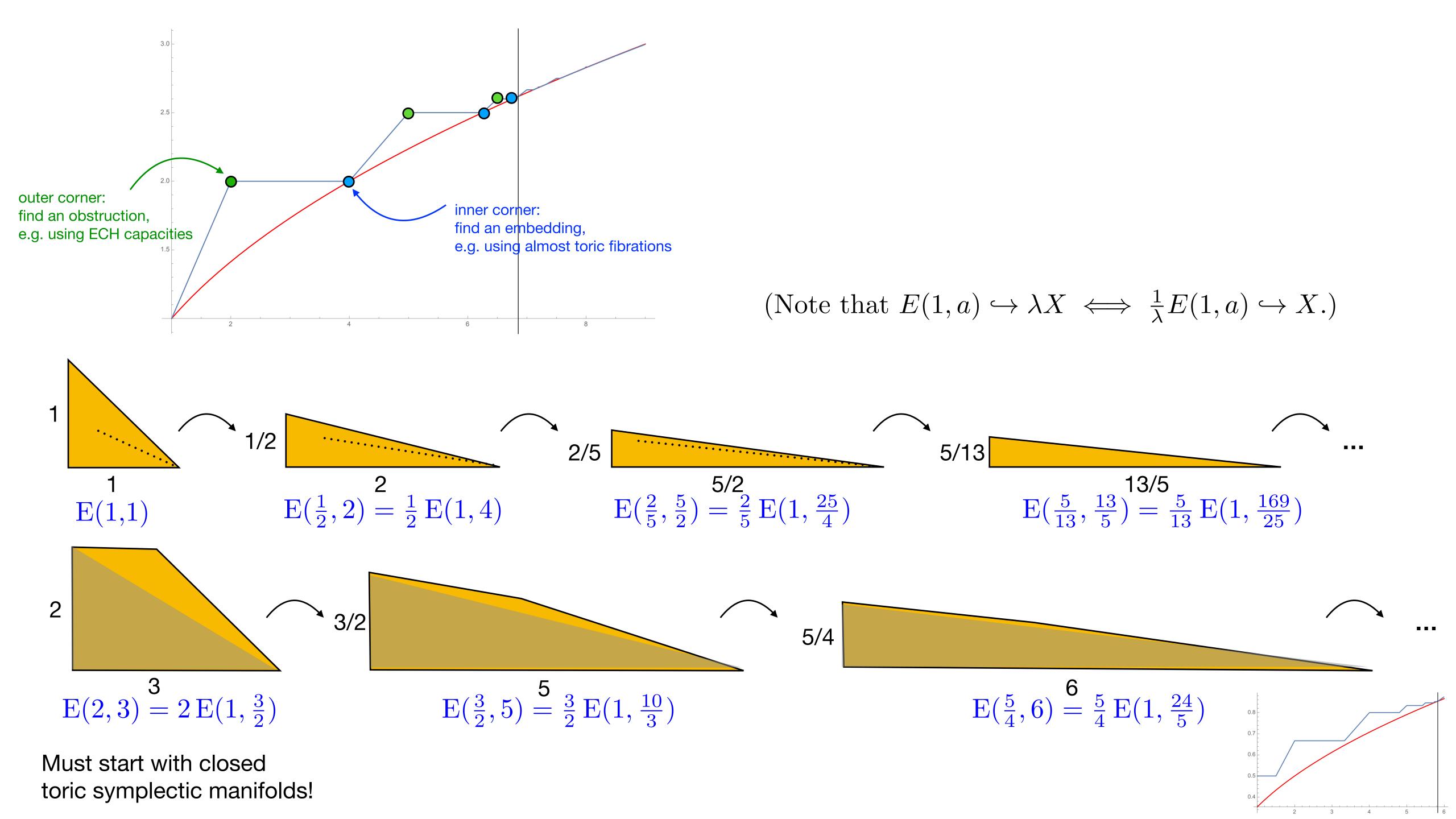
Therefore: $c_X(a) = \sup_{k \in \mathbb{N}} \frac{C_k(E(1,a))}{C_k(X)}$ easy hard





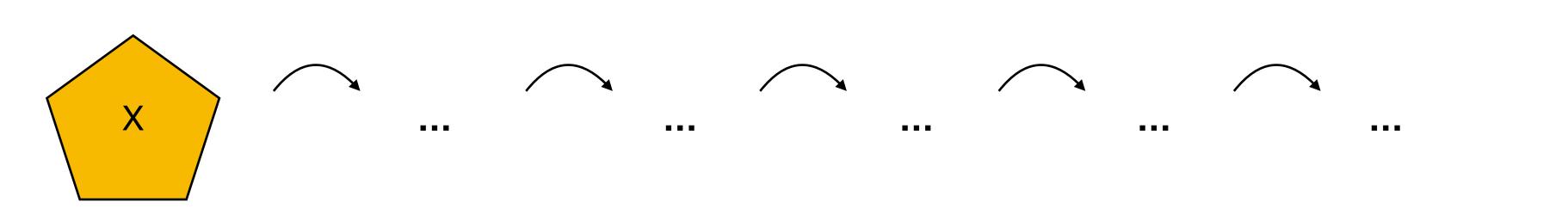






Short proof of location of a0, using the following assumptions:

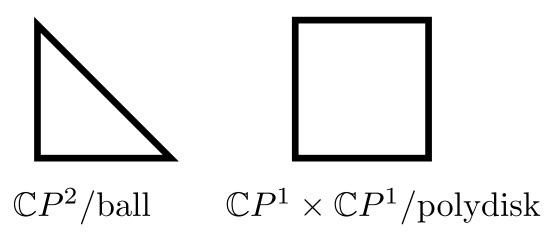
- the interior corners of the infinite staircase are given by ATF's,
- the accumulation point a0 is irrational,
- E(1,a0) gives a full filling.



(in the limit) $\frac{1}{c_X(a_0)} E(1, a_0)$

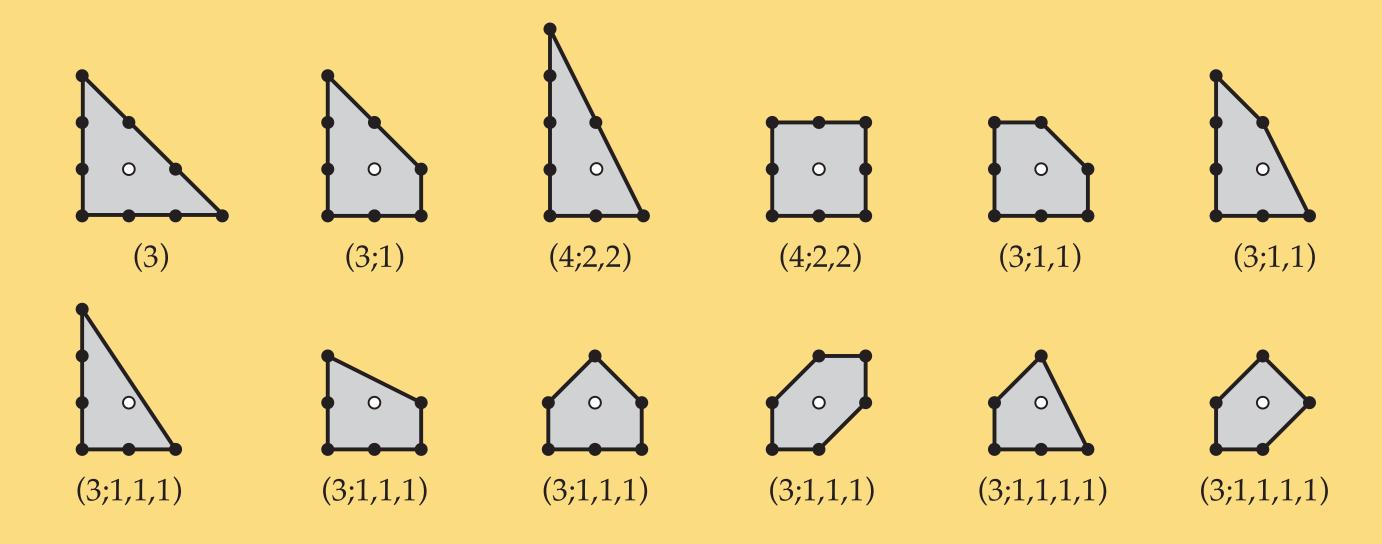
This iterative process does not change the area and affine perimeter of the shapes, so:

$$\begin{cases} \operatorname{vol} = \left(\frac{1}{c_X(a_0)}\right)^2 (1 \times a_0) \\ \operatorname{per} = \left(\frac{1}{c_X(a_0)}\right) (1 + a_0) \end{cases} \iff \begin{cases} c_X(a_0) = \sqrt{\frac{a_0}{\operatorname{vol}}} \\ a_0^2 - \left(\frac{\operatorname{per}^2}{\operatorname{vol}} - 2\right) a_0 + 1 = 0 \end{cases}$$



Theorem: (Cristofaro-Gardiner, Holm, Mandini, P.)

There is an infinite staircase in the ellipsoid embedding function $c_X(a)$ for the following convex toric domains:

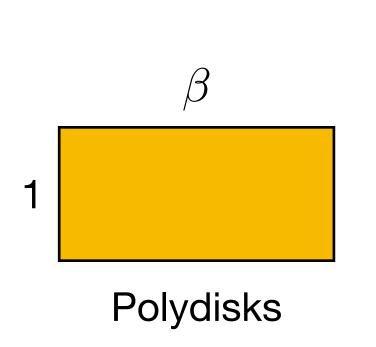


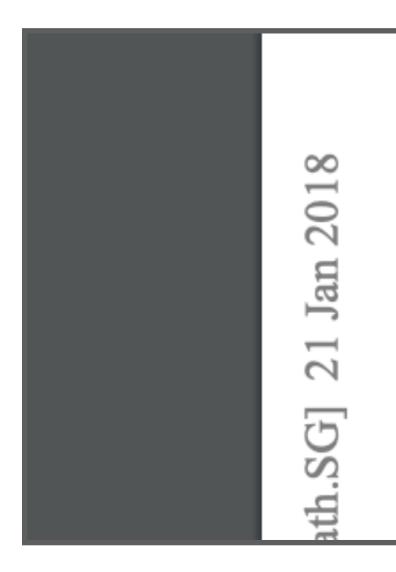
Conjecture: If $c_X(a)$ has an infinite staircase, then the moment polygon of X is a reflexive polygon.

In particular, the 12 examples above are the only (rational) ones with infinite staircases (up to scaling).

BUT!!!!!

There are infinitely many infinite staircases for irrational convex toric domains!





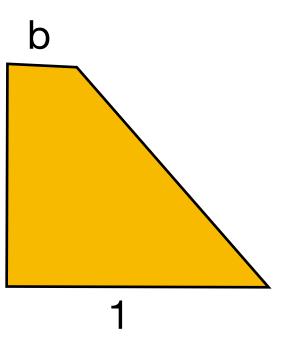
INFINITE STAIRCASES IN THE SYMPLECTIC EMBEDDING PROBLEM FOR FOUR-DIMENSIONAL ELLIPSOIDS INTO POLYDISKS

MICHAEL USHER

ABSTRACT. We study the symplectic embedding capacity function C_{β} for ellipsoids $E(1,\alpha)\subset \mathbb{R}^4$ into dilates of polydisks $P(1,\beta)$ as both α and β vary through $[1,\infty)$. For $\beta=1$ results of [FM15] show that C_{β} has an infinite staircase accumulating at $\alpha=3+2\sqrt{2}$, while for integer $\beta\geq 2$ [CFS17] found that no infinite staircase arises. We show that, for arbitrary $\beta\in (1,\infty)$, the restriction of C_{β} to $[1,3+2\sqrt{2}]$ is determined entirely by the obstructions from [FM15], leading C_{β} on this interval to have a finite staircase with the number of steps tending to ∞ as $\beta\to 1$. On the other hand, in contrast to [CFS17], for a certain doubly-indexed sequence of irrational numbers $L_{n,k}$ we find that $C_{L_{n,k}}$ has an infinite staircase; these $L_{n,k}$ include both numbers that are arbitrarily large and numbers that are arbitrarily close to 1, with the cor-

[Br28] Brahmagupta's Brāhmasphuṭasiddhānta (628), edited by Acharyavara Ram Swarup Sharma, Indian Institute of Astronomical and Sanskrit Research, New Dehli, 1965, vol.





Hirzebruch surfaces

WiSCon Project 9:

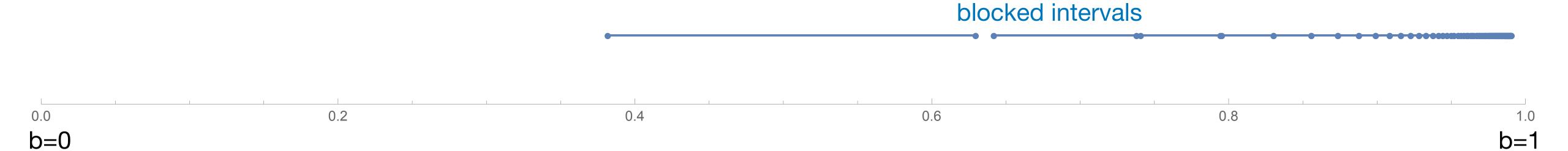
Morgan Weiler, Maria Bertozzi, Dusa McDuff, Ana Rita Pires, Tara Holm, Emily Maw, Grace Mwakyoma

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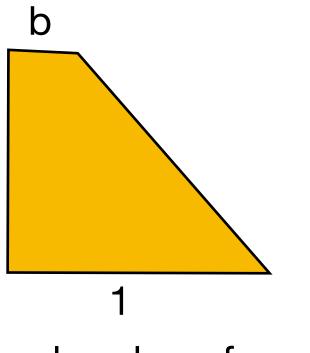
There are infinitely many infinite staircases for irrational convex toric domains!

ascending infinite staircases

descending infinite staircases



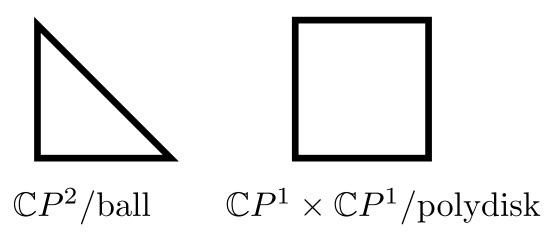




Hirzebruch surfaces

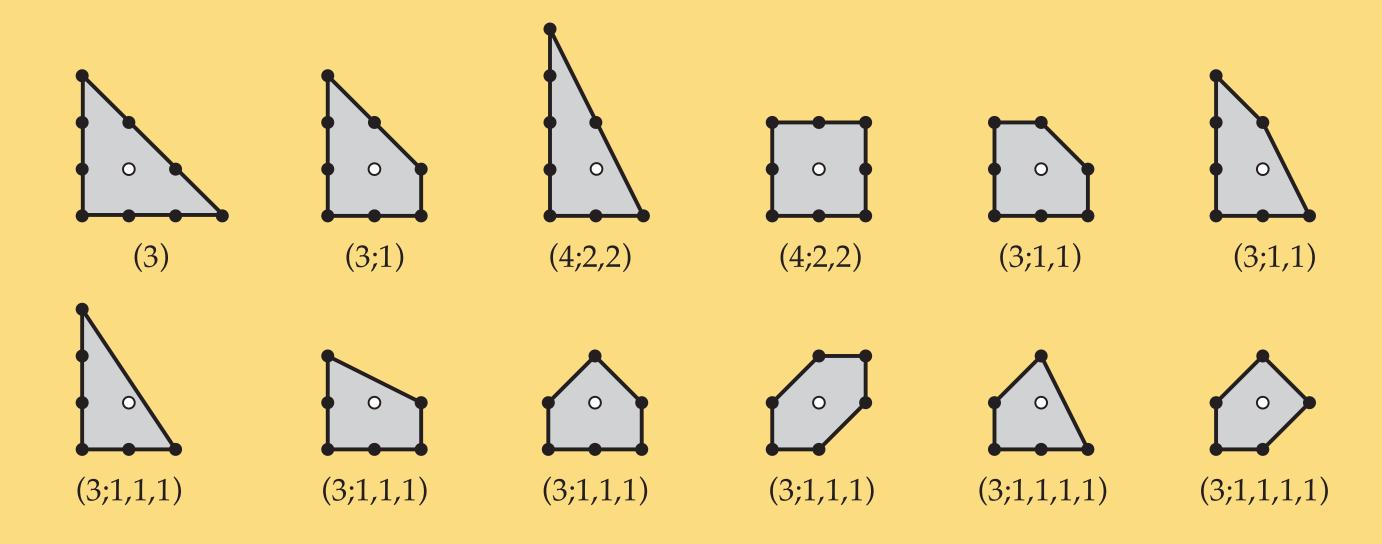
WiSCon Project 9:

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Theorem: (Cristofaro-Gardiner, Holm, Mandini, P.)

There is an infinite staircase in the ellipsoid embedding function $c_X(a)$ for the following convex toric domains:



Conjecture: If $c_X(a)$ has an infinite staircase, then the moment polygon of X is a reflexive polygon.

In particular, the 12 examples above are the only (rational) ones with infinite staircases (up to scaling).

 $X = X_{\Omega}$ with integral blowup vector $(b; b_1, \ldots, b_n)$.

If X has an infinite staircase then $E(1, a_0) \hookrightarrow \sqrt{\frac{a_0}{\text{vol}}}X$

 $\iff d(T) \ge \Gamma_{r(T)} \text{ for all } T \in \mathbb{N}$

$$\iff C_k(E(u_0, v_0)) \leq C_k(X) \text{ for all } k \in \mathbb{N}$$

$$\iff \operatorname{cap}_{E(u_0, v_0)}(T) \geq \operatorname{cap}_X(T) \text{ for all } T \in \mathbb{N}$$

$$\iff \operatorname{ehr}_{\Delta_{u_0, v_0}}(T) \geq \operatorname{cap}_X(T) \text{ for all } T \in \mathbb{N}$$

$$\iff \operatorname{ehr}_{\Delta_{u_0, v_0}}(T) \geq \operatorname{cap}_X(T) \text{ for all } T \in \mathbb{N}$$

$$\iff \frac{1}{2\operatorname{vol}} T^2 + \frac{\operatorname{per}}{2\operatorname{vol}} T + d(T) \geq \frac{1}{2\operatorname{vol}} T^2 + \frac{\operatorname{per}}{2\operatorname{vol}} T + \Gamma_{r(T)} \text{ for all } T \in \mathbb{N}$$

$$(u_0 = \sqrt{\frac{a_0}{a_0}} \text{ and } v_0 = \sqrt{\frac{a_0}{\operatorname{vol}}} \text{ or } V \in \mathbb{N}$$

$$(\operatorname{cap}_M(T) := \#\{k | C_k(M) \leq T\})$$

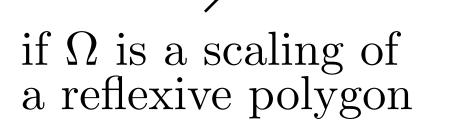
$$(\operatorname{ehr}_{\Delta_{u,v}}(T) = \#\{\mathbb{Z}^2 \cap T \cdot \Delta_{u,v}\})$$

$$\iff \frac{1}{2\operatorname{vol}} T^2 + \frac{\operatorname{per}}{2\operatorname{vol}} T + d(T) \geq \frac{1}{2\operatorname{vol}} T^2 + \frac{\operatorname{per}}{2\operatorname{vol}} T + \Gamma_{r(T)} \text{ for all } T \in \mathbb{N}$$

$$(T \equiv r(T) \text{ (mod vol)})$$

Hardy and Littlewood (1920) showed that for certain a_0 's, $d(x) = d_{a_0}(x)$ is "optimally $\pm \mathcal{O}(\log x)$ " for $x \in \mathbb{R}$.

Experimentally we observe that $d_{a_0}(T)$ is either periodic or "optimally $\pm \mathcal{O}(\log T)$ " for $T \in \mathbb{N}$.

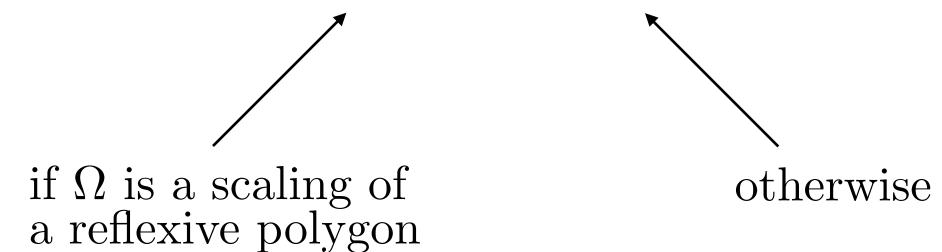


otherwise

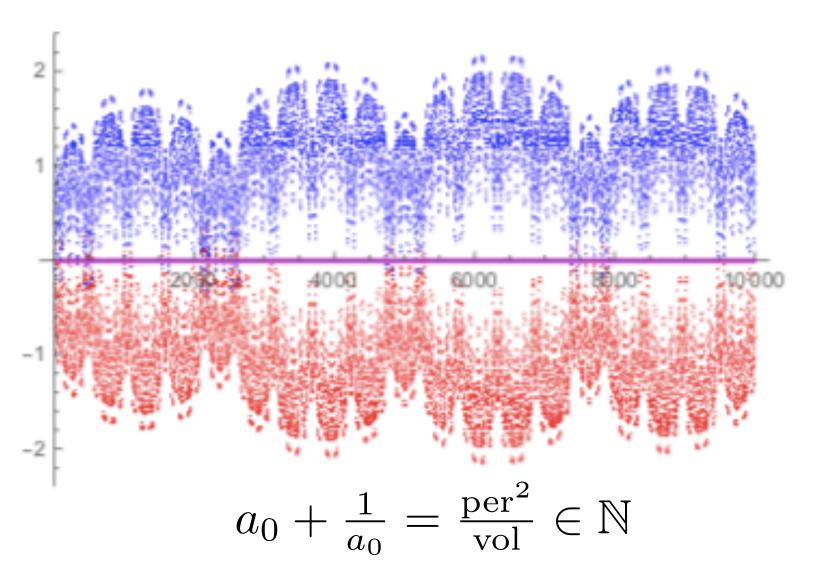
 $\mathcal{O}(\log x)$ plus

 \exists constant K and increasing sequences x_i, x_j such that $d_{a_0}(x_i) > K \log(x_i)$ and $d_{a_0}(x_j) < -K \log(x_j)$.

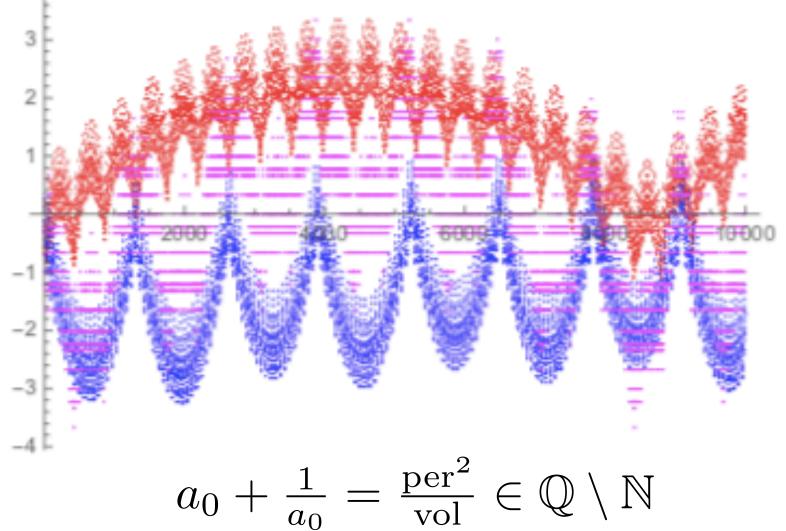
Experimentally we observe that $d_{a_0}(T)$ is either periodic or "optimally $\pm \mathcal{O}(\log T)$ " for $T \in \mathbb{N}$.



Some evidence: when per |T|, we have $d(T) = S_{a_0}(\frac{T}{\text{per}}) + S_{\frac{1}{a_0}}(\frac{T}{\text{per}})$, where $S_{\theta}(n) = \sum_{k=1}^{n} (\{k\theta\} - \frac{1}{2})$.

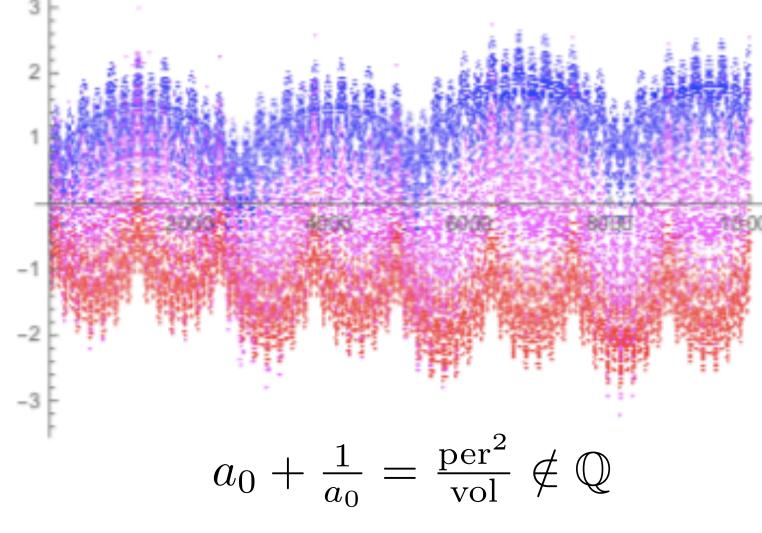


lattice polygon that is a scaling of a reflexive polygon



$$a_0 + \frac{1}{a_0} = \frac{\operatorname{per}^2}{\operatorname{vol}} \in \mathbb{Q} \setminus \mathbb{N}$$

lattice polygon that is not a scaling of a reflexive polygon



not a lattice polygon (one of Usher's infinite staircases)

$$d(T) = 0$$

 $X = X_{\Omega}$ with integral blowup vector $(b; b_1, \ldots, b_n)$.

If X has an infinite staircase then $\operatorname{ehr}_{\Delta_{u_0,v_0}}(T) \geq \operatorname{cap}_X(T)$ for all $T \in \mathbb{N}$

$$\left(\operatorname{ehr}_{\Delta_{u,v}}(T) = \#\left\{\mathbb{Z}^2 \cap T \cdot \Delta_{u,v}\right\}\right)$$

$$\iff \frac{1}{2\text{vol}}T^2 + \frac{\text{per}}{2\text{vol}}T + d(T) \ge \frac{1}{2\text{vol}}T^2 + \frac{\text{per}}{2\text{vol}}T + \Gamma_{r(T)} \text{ for all } T \in \mathbb{N}$$

Cristofaro-Gardiner, Li, Stanley on $ehr_{\Delta_{u,v}}(T)$ for $T \in \mathbb{N}$ and $\frac{u}{v} \notin \mathbb{Q}$:

Even though this is not the case in general, for exactly certain u, v's the function $\operatorname{ehr}_{\Delta_{u,v}}(T)$ is a quasipolynomial!

$$\frac{1}{u} + \frac{1}{v} \text{ and } (u+v) \left(\frac{1}{u} + \frac{1}{v}\right) \in \mathbb{N}.$$

$$= \frac{\text{per}}{\text{vol}} = \frac{\text{per}^2}{\text{vol}}$$

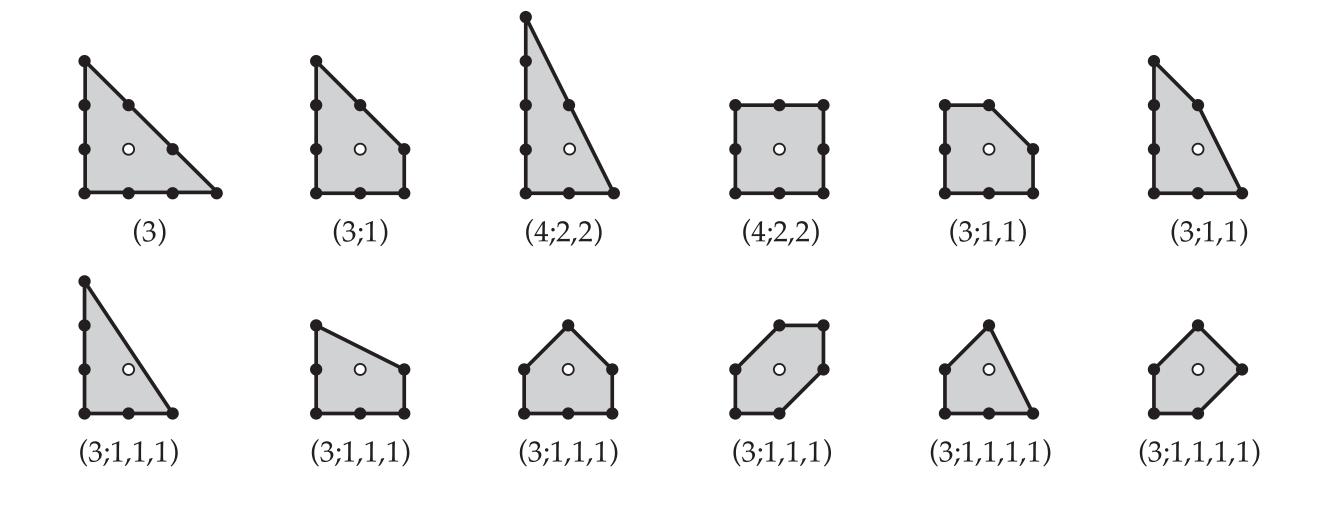
Now: Ω is lattice polygon \implies scaling $\tilde{\Omega} = \frac{\text{per}}{\text{vol}} \cdot \Omega$ is also a lattice polygon. So can use Pick's Theorem on $\tilde{\Omega}$:

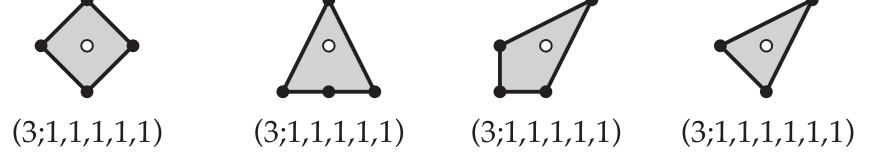
area = # interior lattice points +
$$\frac{\text{# boundary lattice points}}{2} - 1$$

$$\iff \frac{\widetilde{\text{vol}}}{2} = \# \text{ interior lattice points} + \frac{\widetilde{\text{per}}}{2} - 1$$

$$\iff$$
 # interior lattice points = 1

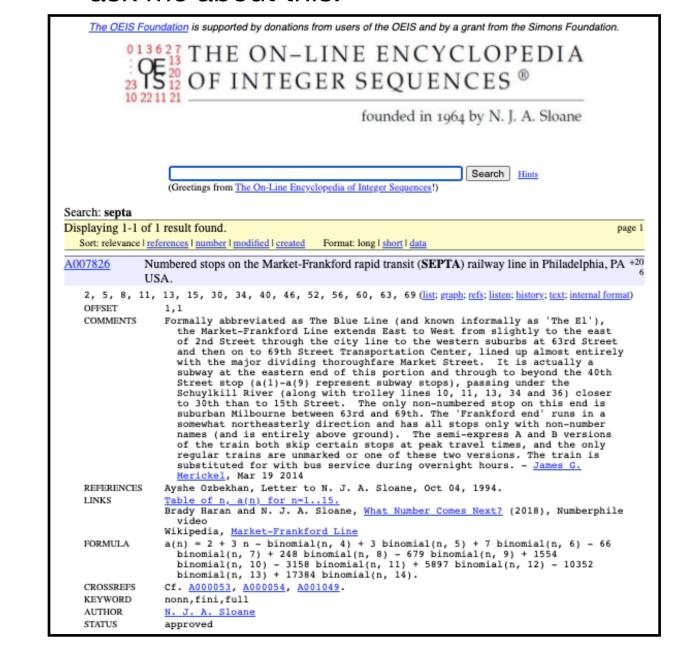
$$\left(\widetilde{\operatorname{per}} = \widetilde{\operatorname{vol}} = \frac{\operatorname{per}^2}{\operatorname{vol}}\right)$$





The end.

If you don't have any other questions, ask me about this!



For $\mathbb{C}P_3^2\#4\overline{\mathbb{C}P}_1^2$, J=2 but the manifold is not toric. We begin by using Vianna's trick [41, §3.2] to find an appropriate ATF on this manifold. Specifically, we begin with the ATF on $\mathbb{C}P_3^2\#3\overline{\mathbb{C}P}_1^2$ given in Figure B.4(e). This ATF has a smooth toric corner at the origin where we may perform a toric blowup of symplectic size 1. In terms of the base diagram, this corresponds to chopping off a 1×1 triangle at the origin. This results in a quadrilateral with two nodal rays representing an ATF on $\mathbb{C}P_3^2\#4\overline{\mathbb{C}P}_1^2$, shown in Figure B.5(b). There is then a sequence of ATF moves that achieves a triangle with two nodal rays. See Figure B.5.

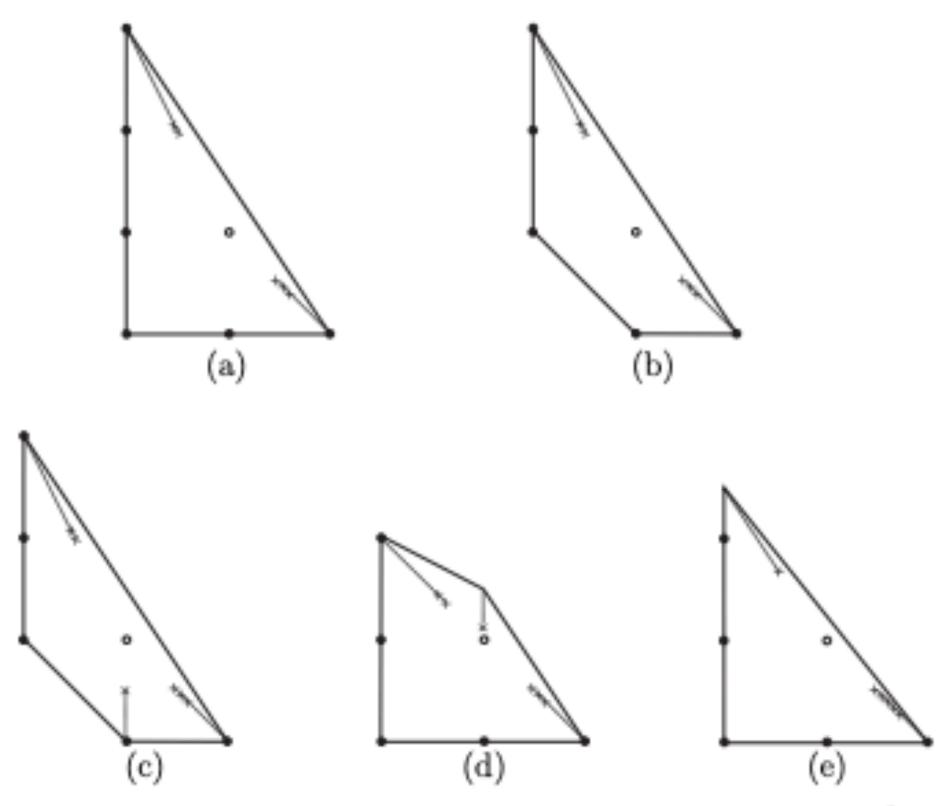


Figure B.5. In (a), we see the base diagram for an ATF on $\mathbb{C}P_3^2 \# 3\overline{\mathbb{C}P}_1^2$. From (a) to (b), we have applied a toric blowup of size 1 at the origin, resulting in an almost toric fibration on $\mathbb{C}P_3^2 \# 4\overline{\mathbb{C}P}_1^2$. From (b) to (c), we apply one nodal trade. From (c) to (d), we apply mutation, with resulting base diagram a quadrilateral with three nodal rays. Finally, from (d) to (e), we perform a second mutation, with resulting base diagram the desired triangle with two nodal rays. In (e), one of the nodal rays has one singular fiber and the other has five singular fibers.